PACE PROGRAM

Name and address of State Administering Agency, if different from the State Medicaid Agency.

I. Eligibility

The State determines eligibility for PACE enrollees under rules applying to community groups.

A. \underline{X} The State determines eligibility for PACE enrollees under rules applying to institutional groups as provided for in section 1902(a)(10)(A)(ii)(VI) of the Act (42 CFR 435.217 in regulations). The State has elected to cover under its State plan the eligibility groups specified under these provisions in the statute and regulations. The applicable groups are:

- Individuals who meet a special income level of 300% of the SSI Federal Benefit Rate (FBR) in accordance with 42 CFR § 435.236.
- Note: Spousal impoverishment eligibility rules under Section 1924 of the Social Security Act apply.

(If this option is selected, please identify, by statutory and/or regulatory reference, the institutional eligibility group or groups under which the State determines eligibility for PACE enrollees. Please note that these groups must be covered under the State's Medicaid plan.)

- B. ____The State determines eligibility for PACE enrollees under rules applying to institutional groups, but chooses not to apply post-eligibility treatment of income rules to those individuals. (If this option is selected, skip to II Compliance and State Monitoring of the PACE Program.
- C. X The State determines eligibility for PACE enrollees under rules applying to institutional groups, and applies post-eligibility treatment of income rules to those individuals as specified below. Note that the post-eligibility treatment of income rules specified below are the same as those that apply to the State's approved HCBS waiver(s).

TN #: 19-005 Supersedes TN#: <u>NEW</u> Approval Date: February 3, 2020 Effective Date: February 1, 2020

Regular Post Eligibility

- 1. X SSI State. The State is using the post-eligibility rules at 42 CFR 435.726. Payment for PACE services is reduced by the amount remaining after deducting the following amounts from the PACE enrollee's income.
 - (a). Sec. 435.726--States which do not use more restrictive eligibility requirements than SSI.
 - 1. Allowances for the needs of the:
 - (A.) Individual (check one)
 - 1. X The following standard included under the State plan (check one):
 - (a) ____SSI
 - (b) Medically Needy
 - (c) X The special income level for the institutionalized
 - (d) _____Percent of the Federal Poverty Level: _____%
 - (e) ____Other (specify):_____

3. ____ The following formula is used to determine the needs allowance:

Note: If the amount protected for PACE enrollees in item 1 is equal to, or greater than the maximum amount of income a PACE enrollee may have and be eligible under PACE, enter N/A in items 2 and 3.

| (B.) |) Spouse | only (| (check | one) |): |
|------|----------|--------|--------|------|----|
|------|----------|--------|--------|------|----|

- SSI Standard
- 2. Optional State Supplement Standard
- 3. Medically Needy Income Standard
- 4. The following dollar amount: \$
 - Note: If this amount changes, this item will be revised.
 - .____ The following percentage of the following standard

that is not greater than the standards above: _____% of

_____standard.

6. The amount is determined using the following formula:

7. X Not applicable (N/A)

TN #: 19-005 Supersedes TN#: <u>NEW</u> Approval Date: February 3, 2020

Effective Date: February 1, 2020

Effective Date: February 1, 2020

| (C.) Family (1 2 | check one): AFDC need standard Medically needy income standard | | |
|--|---|--|--|
| the same size used to determine el medically needy income standard es | t exceed the higher of the need standard for a family of ligibility under the State's approved AFDC plan or the stablished under 435.811 for a family of the same size. | | |
| 3 4 | The following dollar amount: \$Note: If this amount changes, this item will be revised. The following percentage of the following standard that is not greater than the standards above:% of standard. | | |
| 5 | The amount is determined using the following formula: | | |
| 6 7 <u>X</u> | Other Not applicable (N/A) | | |
| (2). Medical and remedial care expenses in 42 CFR 435.726. | | | |
| Regular Post Eligibility | | | |
| than SSI. The Sta Payment for PAC | the that is using more restrictive eligibility requirements the is using the post-eligibility rules at 42 CFR 435.735. Et services is reduced by the amount remaining after twing amounts from the PACE enrollee's income. | | |
| (a) <u>42 CFR 435.735</u> 5 | States using more restrictive requirements than SSI. | | |
| (A.) Ind: 1(cho (a) (b) (c) (d) | es for the needs of the: ividual (check one)The following standard included under the State plan eck one):SSIMedically NeedyThe special income level for the institutionalizedPercent of the Federal Poverty Level:% Other (specify): | | |

TN #: 19-005 Supersedes TN#: <u>NEW</u> Approval Date: February 3, 2020

Effective Date: February 1, 2020

| 2The following dollar amount: \$ Note: If this amount changes, this item will be revised. 3The following formula is used to determine the needs allowance: |
|--|
| Note: If the amount protected for PACE enrollees in item 1 is equal to, or greater than the maximum amount of income a PACE enrollee may have and be eligible under PACE, enter N/A in items 2 and 3. |
| (B.) Spouse only (check one): |
| 1The following standard under 42 CFR 435.121: |
| 2The Medically needy income standard |
| The following dollar amount: \$ Note: If this amount changes, this item will be revised. The following percentage of the following standard that is not greater than the standards above:% of standard. The amount is determined using the following formula: |
| 6Not applicable (N/A) |
| (C.) Family (check one): 1AFDC need standard 2Medically needy income standard The amount specified below cannot exceed the higher of the need standard for a family of the same size used to determine eligibility under the State's approved AFDC plan or the medically needy income standard established under 435.811 for a family of the same size. |
| The following dollar amount: \$ |
| (b) Medical and remedial care expenses specified in 42 CFR 435.735. |

State: District of Columbia

Supplement 4 to Attachment 3.1-B

Page 5

| Spousai i ost migioint | ousal Post Eligibil |
|------------------------|---------------------|
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| 3 | State uses the post-eligibility rules of Section 1924 of the Act (spousal |
|---|--|
| | impoverishment protection) to determine the individual's contribution toward |
| | the cost of PACE services if it determines the individual's eligibility under |
| | section 1924 of the Act. There shall be deducted from the individual's monthly |
| | income a personal needs allowance (as specified below), and a community |
| | spouse's allowance, a family allowance, and an amount for incurred expenses |
| | for medical or remedial care, as specified in the State Medicaid plan. |
| | |
| | (a.) Allowances for the needs of the: |
| | 1 Individual (about ana) |

| 11101 / 10001 (0. | incom one) |
|-------------------|--|
| (A)The | e following standard included under the State plan |
| (check one): | _ |
| 1 | _SSI |
| 2 | Medically Needy |
| 3 | The special income level for the institutionalized |
| 4 | Percent of the Federal Poverty Level: % |

TN #: 19-005 Supersedes TN#: <u>NEW</u> Approval Date: February 3, 2020 Effective Date: February 1, 2020

State: District of Columbia

Supplement 4 to Attachment 3.1-B
Page 6

Effective Date: February 1, 2020

| 5 | Other (specify): |
|--------------|---|
| (B) | The following dollar amount: \$Note: If this amount changes, this item will be revised. |
| (C)allowance | The following formula is used to determine the needs: |
| | |

If this amount is different than the amount used for the individual's maintenance allowance under 42 CFR 435.726 or 42 CFR 435.735, explain why you believe that this amount is reasonable to meet the individual's maintenance needs in the community:

II. Rates and Payments

- A. The State assures CMS that the capitated rates will be equal to or less than the cost to the agency of providing those same fee-for-service State plan approved services on a fee-for-service basis, to an equivalent non-enrolled population group based upon the following methodology. Please attach a description of the negotiated rate setting methodology and how the State will ensure that rates are less than the cost in fee-for-service.
 - 1. X Rates are set at a percent of fee-for-service costs
 - 2. Experience-based (contractors/State's cost experience or encounter date) (please describe)
 - 3. ___ Adjusted Community Rate (please describe)
 - 4. Other (please describe)
- B. The State Medicaid Agency assures that the rates were set in a reasonable and predictable manner. Please list the name, organizational affiliation of any actuary used, and attestation/description for the initial capitation rates.
 - The District engaged an actuary to conduct preliminary estimates of Medicaid FFS costs to identify the amount that would otherwise have been paid (AWOP) for PACE. The general methodology developed by the actuary for the Medicaid FFS cost estimates is described in the attachment. The District will use that methodology to ensure the final payment rates remain below the PACE AWOP.

TN #: 19-005 Supersedes TN#: <u>NEW</u>

Approval Date: February 3, 2020

State: <u>District of Columbia</u>

C. The State will submit all capitated rates to the CMS Regional Office for prior approval.

III. Enrollment and Disenrollment

The State assures that there is a process in place to provide for dissemination of enrollment and disenrollment data between the State and the State Administering Agency. The State assures that it has developed and will implement procedures for the enrollment and disenrollment of participants in the State's management information system, including procedures for any adjustment to account for the difference between the estimated number of participants on which the prospective monthly payment was based and the actual number of participants in that month.

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