

**State Plan under Title XIX of the Social Security Act
State/Territory: District of Columbia**

**TARGETED CASE MANAGEMENT SERVICES
Intensive Care Coordination Services for
Children and Youth with Significant Behavioral Concerns**

Target Group (42 Code of Federal Regulations 441.18(a)(8)(i) and 441.18(a)(9)):

Children and youth who: (1) are under age twenty-two (22); and (2) exhibit significant functional impairment at home, school, or in the community due to behavioral health conditions as reflected in a standardized functional assessment tool.

Individuals must also be: (1) at risk of being placed outside their home as assessed by an independently licensed behavioral health practitioner; (2) returning from an out-of-home placement; (3) involved with two (2) or more District government agencies; or (4) have had three (3) or more behavioral health related hospitalizations within a six (6) month period.

____ Target group includes individuals transitioning to a community setting. Case-management services will be made available for up to ____ consecutive days of a covered stay in a medical institution. The target group does not include individuals between ages 22 and 64 who are served in Institutions for Mental Disease or individuals who are inmates of public institutions). (State Medicaid Directors Letter (SMDL), July 25, 2000)

Areas of State in which services will be provided (§1915(g)(1) of the Act):

☒ Entire State
____ Only in the following geographic areas:

Comparability of services (§§1902(a)(10)(B) and 1915(g)(1))

____ Services are provided in accordance with §1902(a)(10)(B) of the Act.
☒ Services are not comparable in amount duration and scope (§1915(g)(1)).

Definition of services (42 CFR 440.169): Targeted case management services are defined as services furnished to assist individuals, eligible under the State Plan, in gaining access to needed medical, social, educational, and other services. Targeted Case Management includes the following assistance:

- ❖ Comprehensive assessment and periodic reassessment of individual needs, to determine the need for any medical, educational, social, or other services. These assessment activities include:
 - taking client history;
 - identifying the individual's needs and completing related documentation; and
 - gathering information from other sources such as family members, medical providers, social workers, and educators (if necessary), to form a complete assessment of the eligible individual;
 - the comprehensive assessment is completed upon admission to services and at least annually thereafter.
- ❖ Development (and periodic revision) of a specific care plan that is based on the information collected through the assessment that
 - specifies the goals and actions to address the medical, social, educational, and other services needed by the individual;

TN# 23-0007

Approval Date: **09/08/2023**

Effective Date: 08/01/2023

Supersedes TN# 13-03

- includes activities such as ensuring the active participation of the eligible individual, and working with the individual (or the individual's authorized health care decision maker) and others to develop those goals; and
 - identifies a course of action to respond to the assessed needs of the eligible individual;
- ❖ Referral and related activities (such as scheduling appointments for the individual) to help the eligible individual obtain needed services including
- activities that help link the individual with medical, social, educational providers, or other programs and services that are capable of providing needed services to address identified needs and achieve goals specified in the care plan; and
- ❖ Monitoring and follow-up activities:
- activities and contacts that are necessary to ensure the care plan is implemented and adequately addresses the eligible individual's needs, and which may be with the individual, family members, service providers, or other entities or individuals and conducted as frequently as necessary, and including at least one annual monitoring, to determine whether the following conditions are met:
 - services are being furnished in accordance with the individual's care plan;
 - services in the care plan are adequate; and
 - changes in the needs or status of the individual are reflected in the care plan. Monitoring and follow-up activities include making necessary adjustments in the care plan and service arrangements with providers;
 - the plan of care is reviewed at least monthly following the National Wraparound Initiative ("NWI") High Fidelity Wraparound model.

____Case management includes contacts with non-eligible individuals that are directly related to identifying the eligible individual's needs and care, for the purposes of helping the eligible individual access services; identifying needs and supports to assist the eligible individual in obtaining services; providing case managers with useful feedback, and alerting case managers to changes in the eligible individual's needs.
(42 CFR 440.169(e))

Qualifications of providers (42 CFR 441.18(a)(8)(v) and 42 CFR 441.18(b)):

For the purposes of this SPA, case managers are referred to as care coordinators. Care coordinators are certified by Department of Behavioral Health as a Mental Health Rehabilitative Services (MHRS) provider in accordance with 22-A DCMR Chapter 34.

TCM services must be delivered by care coordinators with specialized training and skills necessary to assure fidelity to the NWI model and the District of Columbia Children's System of Care Guiding Principles.

Care coordinators must possess a minimum of a B.A. or B.S. degree in social work, psychology, or related field and have a minimum of three (3) years of experience in a human services profession. A minimum of four (4) years employment in the human services field may be substituted for a bachelor's degree.

Care Coordinator Supervisors shall: (1) have at least two (2) years of supervisory experience; (2) have at least five (5) years of experience in case management; (3) possess a master's degree in social work, psychology, or other related professions; and

(4) be an independently licensed behavioral health practitioner. Supervisors shall have supervisory certification from the National Wraparound Implementation Center. Supervisors are responsible for ensuring that case management is implemented to the NWI fidelity standards, the ten (10) NWI Principles of Wraparound, and the District of Columbia Children's System of Care Guiding Principles.

Freedom of choice (42 CFR 441.18(a)(1)):

The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.

1. Eligible individuals will have free choice of any qualified Medicaid provider within the specified geographic area identified in this plan.
2. Eligible individuals will have free choice of any qualified Medicaid providers of other medical care under the plan.

Freedom of Choice Exception (§1915(g)(1) and 42 CFR 441.18(b)):

_____ Target group consists of eligible individuals with developmental disabilities or with chronic mental illness. Providers are limited to qualified Medicaid providers of case management services capable of ensuring that individuals with developmental disabilities or with chronic mental illness receive needed services:

Access to Services (42 CFR 441.18(a)(2), 42 CFR 441.18(a)(3), 42 CFR 441.18(a)(6)):

The State assures the following:

- Case management (including targeted case management) services will not be used to restrict an individual's access to other services under the plan.
- Individuals will not be compelled to receive case management services, condition receipt of case management (or targeted case management) services on the receipt of other Medicaid services, or condition receipt of other Medicaid services on receipt of case management (or targeted case management) services; and
- Providers of case management services do not exercise the agency's authority to authorize or deny the provision of other services under the plan.

Payment (42 CFR 441.18(a)(4)):

Payment for case management or targeted case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

Case Records (42 CFR 441.18(a)(7)):

Providers maintain case records that document for all individuals receiving case management as follows: (i) The name of the individual; (ii) The dates of the case management services; (iii) The name of the provider agency (if relevant) and the person providing the case management service; (iv) The nature, content, units of the case management services received and whether goals specified in the care plan have been achieved; (v) Whether the individual has declined services in the care plan; (vi) The need for, and occurrences of, coordination with other case managers; (vii) A timeline for obtaining needed services; (viii) A timeline for reevaluation of the plan.

Limitations:

Case management does not include, and Federal Financial Participation (FFP) is not available in expenditures for, services defined in §440.169 when the case management

activities are an integral and inseparable component of another covered Medicaid service (State Medicaid Manual (SMM) 4302.2(F)).

Case management does not include, and Federal Financial Participation (FFP) is not available in expenditures for, services defined in §440.169 when the case management activities constitute the direct delivery of underlying medical, educational, social, or other services to which an eligible individual has been referred, including for foster care programs, services such as, but not limited to, the following: research gathering and completion of documentation required by the foster care program; assessing adoption placements; recruiting or interviewing potential foster care parents; serving legal papers; home investigations; providing transportation; administering foster care subsidies; making placement arrangements. (42 CFR 441.18(c))

FFP only is available for case management services or targeted case management services if there are no other third parties liable to pay for such services, including as reimbursement under a medical, social, educational, or other program except for case management that is included in an individualized education program or individualized family service plan consistent with §1903(c) of the Act. (§§1902(a)(25) and 1905(c))