



# **DC HIE Policy Board**

**June 23, 2016**



# **TOPICS FOR DISCUSSION**

- 1) Updates on Current HIE Initiatives
- 2) Report from Sustainability Subcommittee
- 3) Potential Effects of MACRA/MIPS on District HIE Landscape
- 4) Board Objectives and Milestones for FY16-17
- 5) Feedback on District's State Health Innovation Plan (SHIP)



# **UPDATES ON CURRENT HIE** **INITIATIVES**

# **IAPD-U FOR FY16-17**

- ***REMINDER:*** 5 IAPD-U Initiatives
  - 1) Dynamic Patient Care Profile
  - 2) eCQM Dashboard
  - 3) OB/Prenatal Registry
  - 4) Analytical Population Dashboard
  - 5) Support for Increased Ambulatory Connectivity
- CMS HITECH team pre-reviewed IAPD in May
- Formal Submission submitted on June 1<sup>st</sup>
- Targeted Approval: Early/Mid-July

# DISTRICT HIE DESIGNATION

- *REMINDER*: Developing a formal HIE Designation process
  - Create a more cohesive HIE ecosystem
  - Standardize min. capacities/functionality of HIEs operating in the District
- Researching designation requirements to consider
  - 6 categories: 1) *Accreditation/Certification*, 2) *Business Operations*, 3) *Performance & Monitoring*, 4) *Policies & Procedures*, 5) *Security & Encryption*, and 6) *Technical Tools/Standards*
  - Particularly focused on MD, NY, PA, and TX
- Targeted Implementation: Spring/Early Summer '17

## DC HIE Data Summary

For Authorized Use Only – Confidential

**June 23, 2016**

# Goals and Objectives



**Goal:** Gain foundational understanding of available data, where it's stored and barriers to data exchange within the District

## Objectives



Collaborate with key stakeholders to gain pertinent information



Document health data flow within the District at both a high level and technical view



Highlight key opportunities for enhanced data flow

Improved Data Access

Increased Collaboration



## Point-of-Care Data Sources

- Hospitals
- Ambulatory Clinics
- Ancillary Services
  - Laboratories
  - Radiology Centers
  - Pharmacies
- iCAMS



## District Data Stores

- Medicaid Claims and Administrative Data
- Case Management
- Public Health Registries
- Annual Hospital Discharge Database
- Surveillance Database
- iCAMS



## HIE Data Stores

- Capital Partners in Care (CPC)
- Children's IQ Network
- CRISP HIE





## PROBLEM STATEMENT:

### **Data availability depends on where care is sought**

- ❖ Data access and connectivity among data users is inconsistent throughout the District → *Lack of EHRs or access to Health IT; EHRs not connected to HIE; HIEs not connected to each other*



### **PROVIDER IMPACT:**

- Prevents effective participation in value-based payment models
- Impacts care coordination and delivery of quality, safe, effective care



### **DC GOVERNMENT IMPACT:**

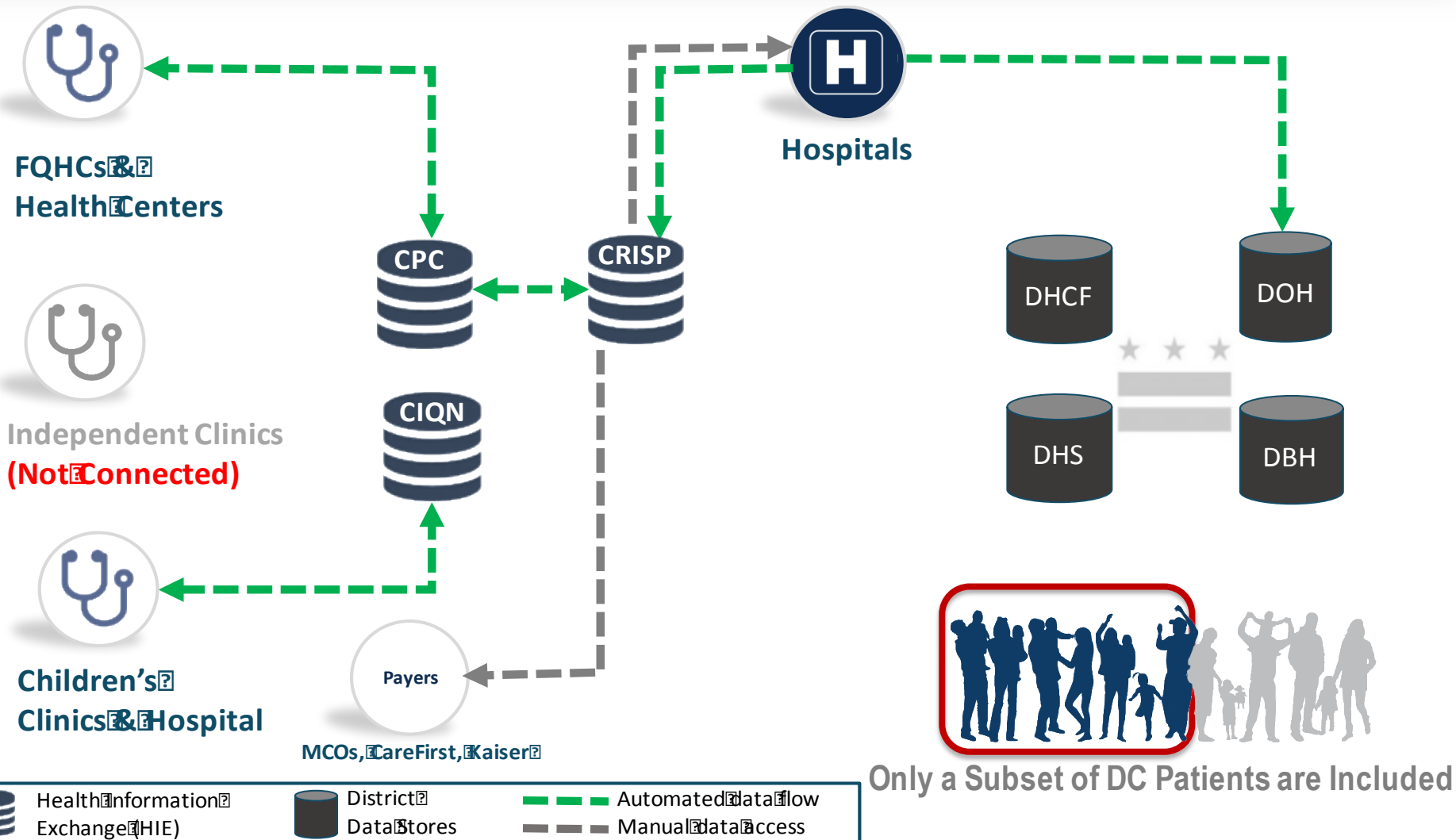
- Inability to develop population health services
- Limited ability to develop effective care and payment programs (e.g. health homes)
- Needs of most underserved population are not identified nor met



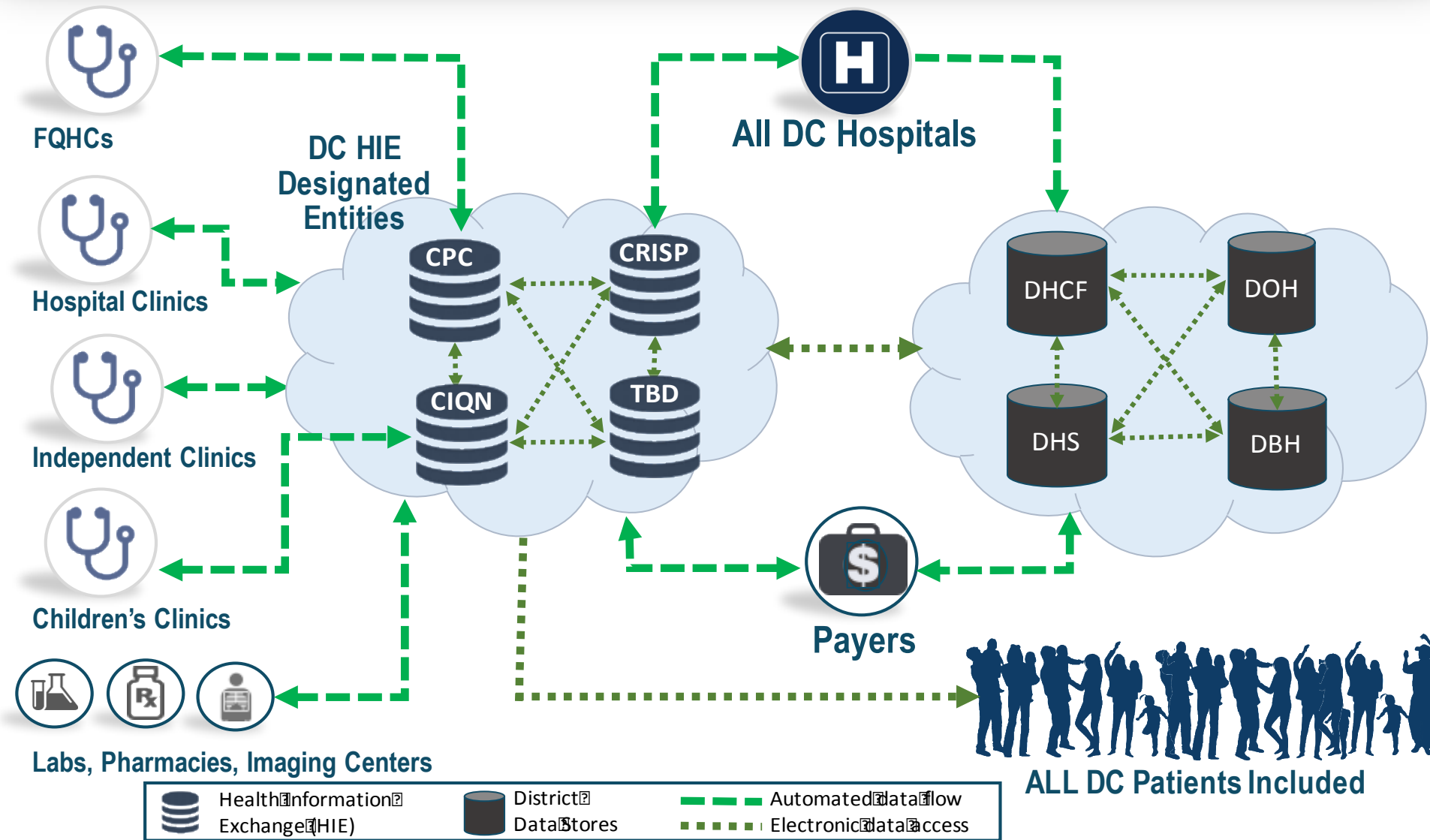
### **PATIENT IMPACT:**

- Increased potential for duplicate or inappropriate treatment or testing
- Limits self-advocacy

# Current State



# Potential Future State (For Illustrative Purposes Only)





## VISION:

**By 2021, a foundation for DC HIE Ecosystem serves ALL District residents.**

- **ALL** patients
- **ALL** clinics
- **ALL** hospitals
- **ALL** payers

## PROVIDER IMPACT:

- Enables participation in quality and value-based care programs
- Facilitates safe and effective care delivery at the point of care
- Data integration for effective practice-based and hospital-based population health

## DC GOVERNMENT IMPACT:

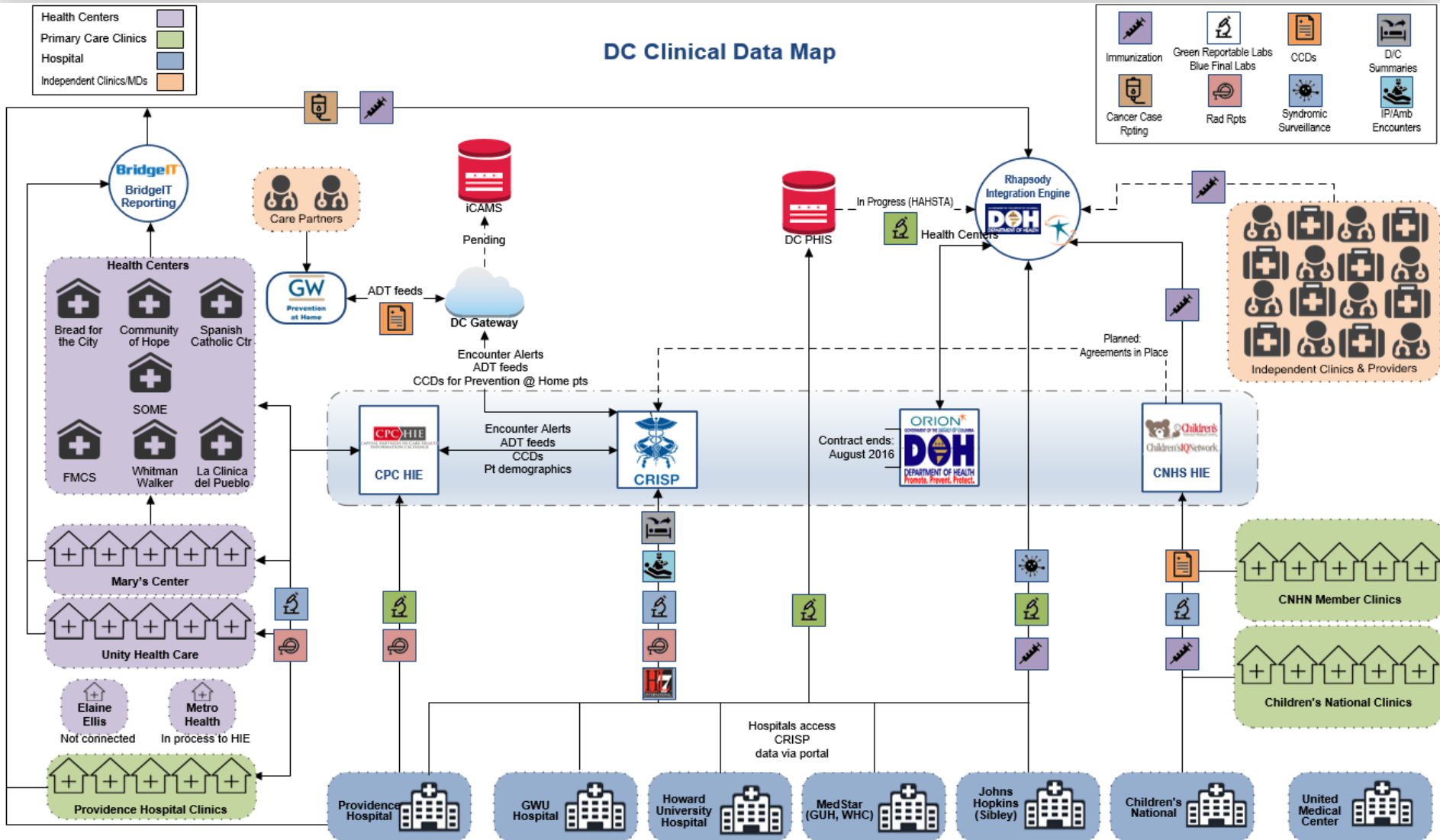
- DC has the ability to access and use all health data for patients
- DC can determine unmet needs and develop effective programs

## PATIENT IMPACT:

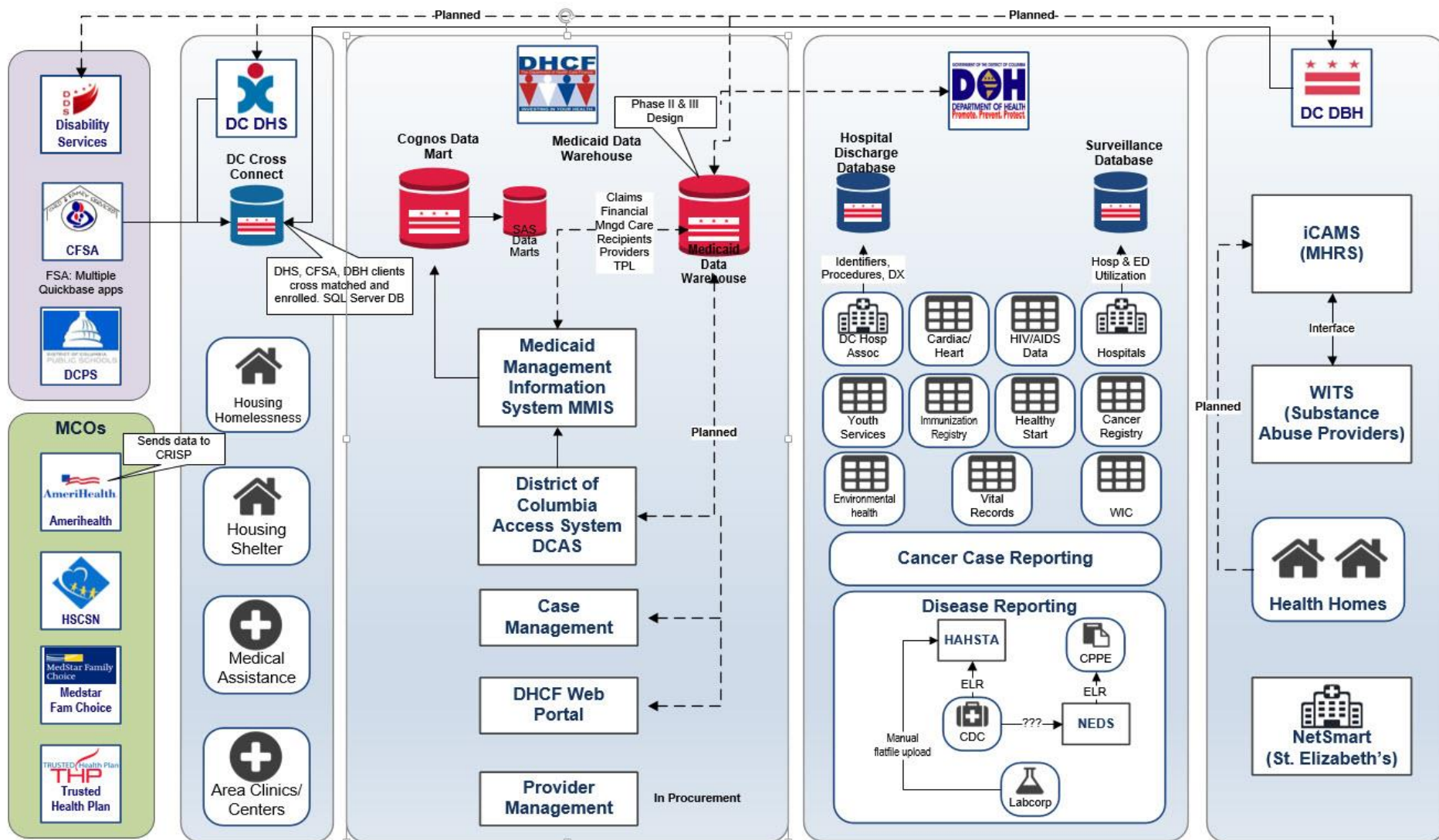
- Care is coordinated amongst all providers who care for a patient
- Patients have access to their health information to engage in care
- Improved health outcomes

# District Data Flows

# Clinical Data Exchange – Icon View (Draft)



# DC Government Data Exchange (Draft)





# Data Availability for HIE: Current State



Org/Group	Inpt/Amb	EHR/Health IT	Data Exchanged								HIE or Repository With Data				
			CCDs	Lab	Rad	Cancer Ca	Ix	ELR	Syndrom	CPC	CRISP	GW P@	CIQN	DOH Or	
Bread for the City	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	YES	ENS Panel	YES	NO	YES	
Community of Hope	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	YES	ENS Panel	YES	NO	YES	
Elaine Ellis	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	YES	ENS Panel	YES	NO	YES	
Family & Medical CS	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	YES	ENS Panel	YES	NO	YES	
La Clinica del Pueblo	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	YES	ENS Panel	YES	NO	YES	
Mary's Center	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	YES	ENS Panel	YES	NO	YES	
Metro Health	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	YES	ENS Panel	YES	NO	YES	
SOME	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	YES	ENS Panel	YES	NO	YES	
Whitman Walker	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	YES	ENS Panel	YES	NO	YES	
Planned Parenthood	Ambulatory	NextGen	NO	NO	NO	NO	NO	N/A	N/A	NO	NO	NO	NO	NO	
Unity Health Care	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	YES	ENS Panel	YES	NO	YES	
Providence Clinics	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	YES	ENS Panel	YES	NO	YES	
Children's Clinics	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	NO	NO	NO	YES	YES	
Peds Clinics - CNHN	Ambulatory	eCW	YES	YES	YES	YES	YES	N/A	N/A	NO	NO	NO	YES	YES	
MedStar Clinics	Ambulatory	GE Centricity -> Cerner	NO	NO	NO	UNK	YES	N/A	N/A	NO	NO	NO	NO	YES	
Howard Clinics	Ambulatory	Allscripts Enterprise	NO	NO	NO	UNK	YES	N/A	N/A	NO	ENS (IN PROG)	NO	NO	YES	
GWU Clinics (MFA)	Ambulatory	Allscripts Enterprise	UNK	UNK	UNK	UNK	YES	N/A	N/A	NO	ENS Panel	NO	NO	YES	
UMC Clinics	Ambulatory	eCW (Implementing)	NO	NO	NO	UNK	UNK	N/A	N/A	NO	NO	NO	NO	NO	
Johns Hopkins Clinics	Inpatient	Epic	NO	NO	NO	UNK	YES	YES	YES	NO	ADT, Lab, Rad	NO	NO	YES	
MedStar Georgetown Hosp	Inpatient	Cerner	NO	NO	NO	UNK	YES	YES	YES	NO	ADT, Lab, Rad	NO	NO	YES	
MedStar Wash Hosp Ctr	Inpatient	Cerner	NO	NO	NO	UNK	YES	YES	YES	NO	ADT, Lab, Rad	NO	NO	YES	
GWU Hospital	Inpatient	Cerner	NO	YES	UNK	UNK	YES	YES	YES	NO	ADT, Lab, Rad	NO	NO	YES	
Johns Hopkins - Sibley Hosp	Inpatient	Epic	NO	NO	NO	UNK	YES	YES	YES	NO	ADT, Lab, Rad	NO	NO	YES	
Howard Univ Hospital	Inpatient	Siemens	NO	NO	NO	UNK	YES	YES	YES	NO	ADT, Lab, Rad	NO	NO	YES	
Providence Hospital	Inpatient	MEDITECH	NO	YES	YES	UNK	YES	YES	YES	YES	ADT, CCD, Lab, Rad	YES	NO	YES	
Children's National	Inpatient	Cerner	YES	YES	UNK	UNK	YES	YES	YES	NO	NO	NO	NO	YES	
UMC Hospital	Inpatient	MEDITECH	NO	NO	NO	NO	UNK	UNK	UNK	NO	NO	NO	NO	UNK	
Ind Practices Achieving MU	Ambulatory	Various	NO	NO	NO	UNK	YES	N/A	N/A	NO	Varies	NO	NO	YES	
Ind Practices Not Achieving MU	Ambulatory	Various	NO	NO	NO	NO	Varies	N/A	N/A	Varies	Varies	Varies	Varies	Varies	
Ind Practices Without EHRs	Ambulatory	None	NO	NO	NO	NO	NO	N/A	N/A	NO	NO	NO	NO	NO	
GCM Radiology	Imaging Ctr	Unknown	N/A	N/A	YES	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Foxhall MRI (Progressive Rad)	Imaging Ctr	Unknown	N/A	N/A	YES	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Washington Radiology Assoc	Imaging Ctr	Unknown	N/A	N/A	YES	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Other / Ind Radiology Centers	Imaging Ctr	Unknown	N/A	N/A	NO	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	

- **Data Exchanged:** Data types and formats available for exchange from Organization's EHR
- **HIE or Repository With Data:** Indicates where HIE or Data Store electronically receives data from Organization.
- **Values:** Yes; No; UNK = Unknown at this time; IN PROG = In Progress; Varies = Varies by individual Organization
- Data availability collected from interviews and review of available HIE documentation April/May 2016



# Summary



**clinovations**  
GOVERNMENT + HEALTH



## Patients Served

- Current HIEs serve distinct patient populations
- Only a subset of patients served



- FQHCs
- Providence Hospital



- Children's Hospital
- Children's Clinics
- Children's affiliated clinics



- 6 Out of 8 Hospitals

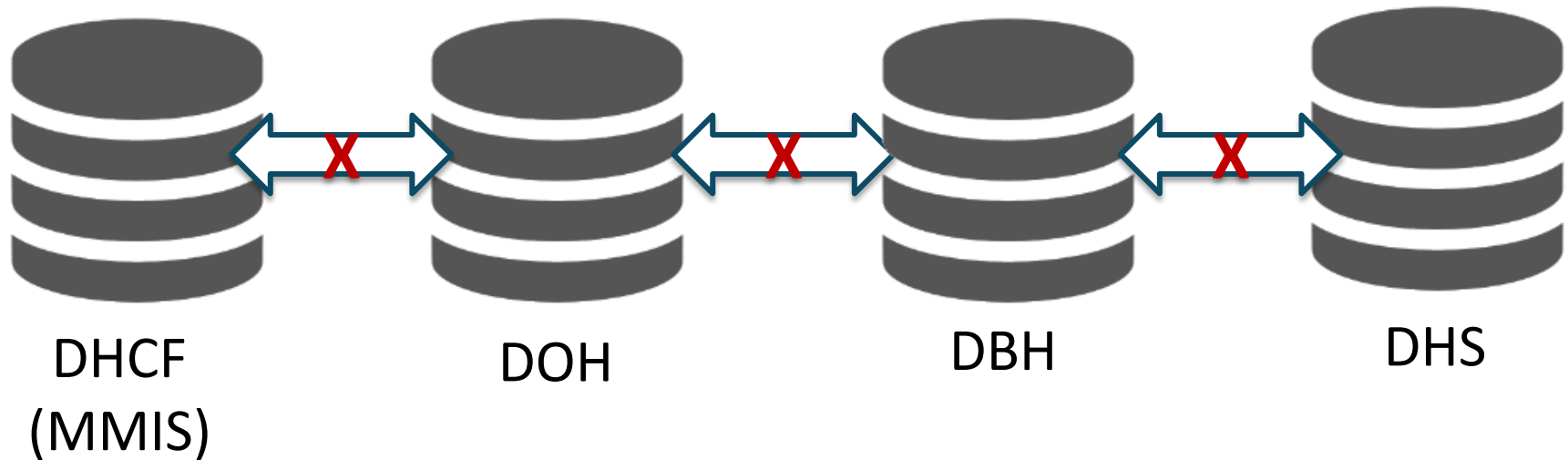


***0% of HIEs Serve These Patients***

### Examples

- United Medical Center
- Independent Benning Rd, Anacostia Providers/Clinics

# Summary: DC Data Stores (Examples)



- Medicaid Claims

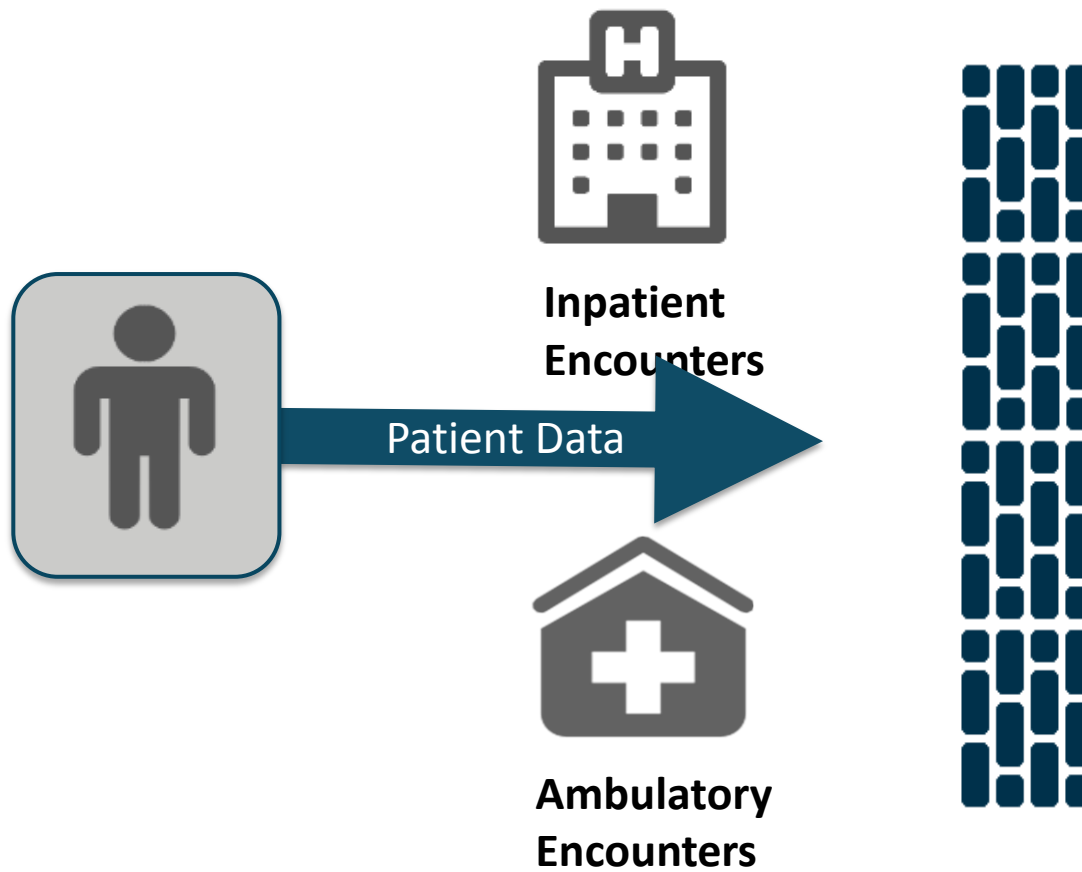
- Case Management
- Hospital Discharge Database
- Surveillance Database

- iCAMS

- Housing

***Illustrative Purposes Only – Data Store Listings Are Not Comprehensive (Examples)***

# Summary: Gaps and Barriers



## Lack a Longitudinal View of Patient Encounters

ED/Hospital encounters:  
Until Children's  
National and UMC are  
connected via CRISP

Ambulatory encounters:  
Outside of FQHCs +  
Providence Clinics  
Children's National  
has their own

## Primary POC Contact Information:

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# **REPORT FROM SUSTAINABILITY** **SUBCOMMITTEE**

# CURRENT MEMBERS

#	<u>SUBCOMMITTEE MEMBER</u>	<u>AFFILIATION</u>	<u>BOARD MEMBER</u>
1	<b>Alison Rein (CHAIR)</b>	AcademyHealth	YES
2	<b>Claudia Schlosberg</b>	DC Dept. of Health Care Finance	YES
3	<b>Chris Botts</b>	DC Dept. of Health Care Finance	YES
4	<b>LaQuandra Nesbitt</b>	DC Dept. of Health	YES
5	<b>Andersen Andrews</b>	DC Dept. of Health	NO
6	<b>Donna Ramos-Johnson</b>	DC Primary Care Assoc.	YES
7	<b>Justin Palmer</b>	DC Hospital Assoc.	YES
8	<b>Peter Stoessel</b>	AmeriHealth	YES
9	<b>Scott Afzal</b>	CRISP	NO

# **SUBCOMMITTEE CHARTER**

## I. Purpose

- Recommendations to Board representing best approach(s) to establishing long-term, sustainable HIE in the District

## II. Composition & Meetings

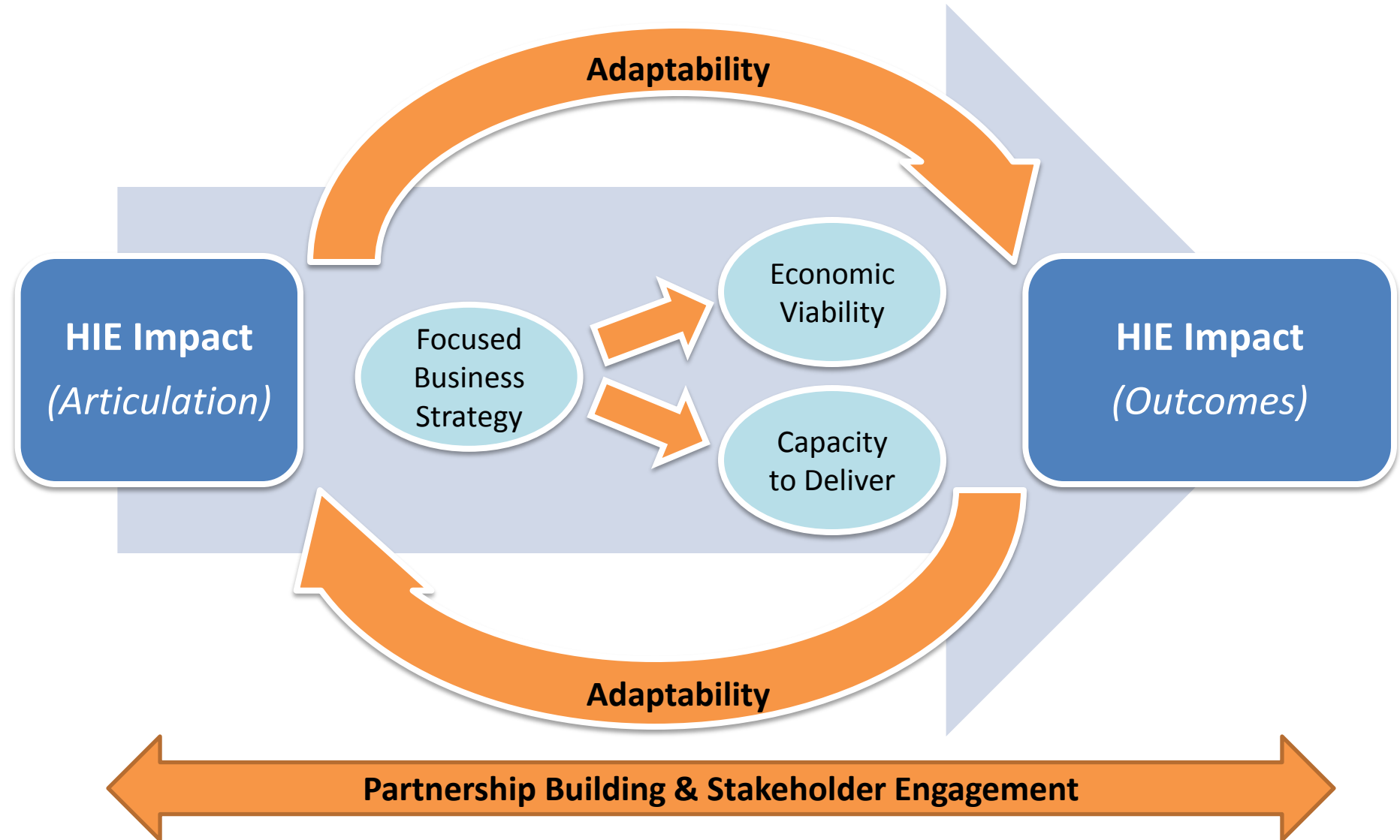
## III. Responsibilities & Duties

- Analyze nationwide models and best practices
- Discuss potential barriers and challenges
- Recommendations for implementation

## IV. Reports



# APPROACH TO SUSTAINABILITY



# **KEY QUESTIONS**

- What value drivers are needed to encourage current and future HIE participation from stakeholders? How prescriptive should the District be in implementing such drivers?
- Which drivers currently exist in the District?
- Are there barriers and challenges to implementing such drivers?
- What are the various revenue sources that can be leveraged?
- How can these efforts support other payers?
- What model(s) can be tailored to fit the District's needs?

# **NEXT STEPS**

- Determine meeting frequency and subcommittee sunset date
  - Per Bylaws, determined by Board
- Recruit additional member(s) representative of the following areas of expertise:
  - Economics/Finance
  - Independently Practicing Physicians
- Gather cost data related to high-value HIEs
- Discuss strategies around private payer engagement
- Review nationwide HIE models and their applicability to the District



# **MACRA/MIPS EFFECTS ON** **DISTRICT HIE LANDSCAPE**



The Office of the National Coordinator for  
Health Information Technology

# DC HIE Policy Board Meeting

June 23, 2016

Kelly Cronin, MS, MPH, Director, Office of Care Transformation, ONC/HHS



# HHS Goals for Medicare Payment Reform

In January 2015, the Department of Health and Human Services announced **new goals** for **value-based payments** and **APMs in Medicare**

## Medicare Fee-for-Service

**GOAL 1:** **30%** 

Medicare payments are tied to quality or value through **alternative payment models (categories 3-4)** by the end of 2016, and 50% by the end of 2018

**GOAL 2:** **85%** 

Medicare fee-for-service payments are **tied to quality or value (categories 2-4)** by the end of 2016, and 90% by the end of 2018



## STAKEHOLDERS:

Consumers | Businesses  
Payers | Providers  
State Partners

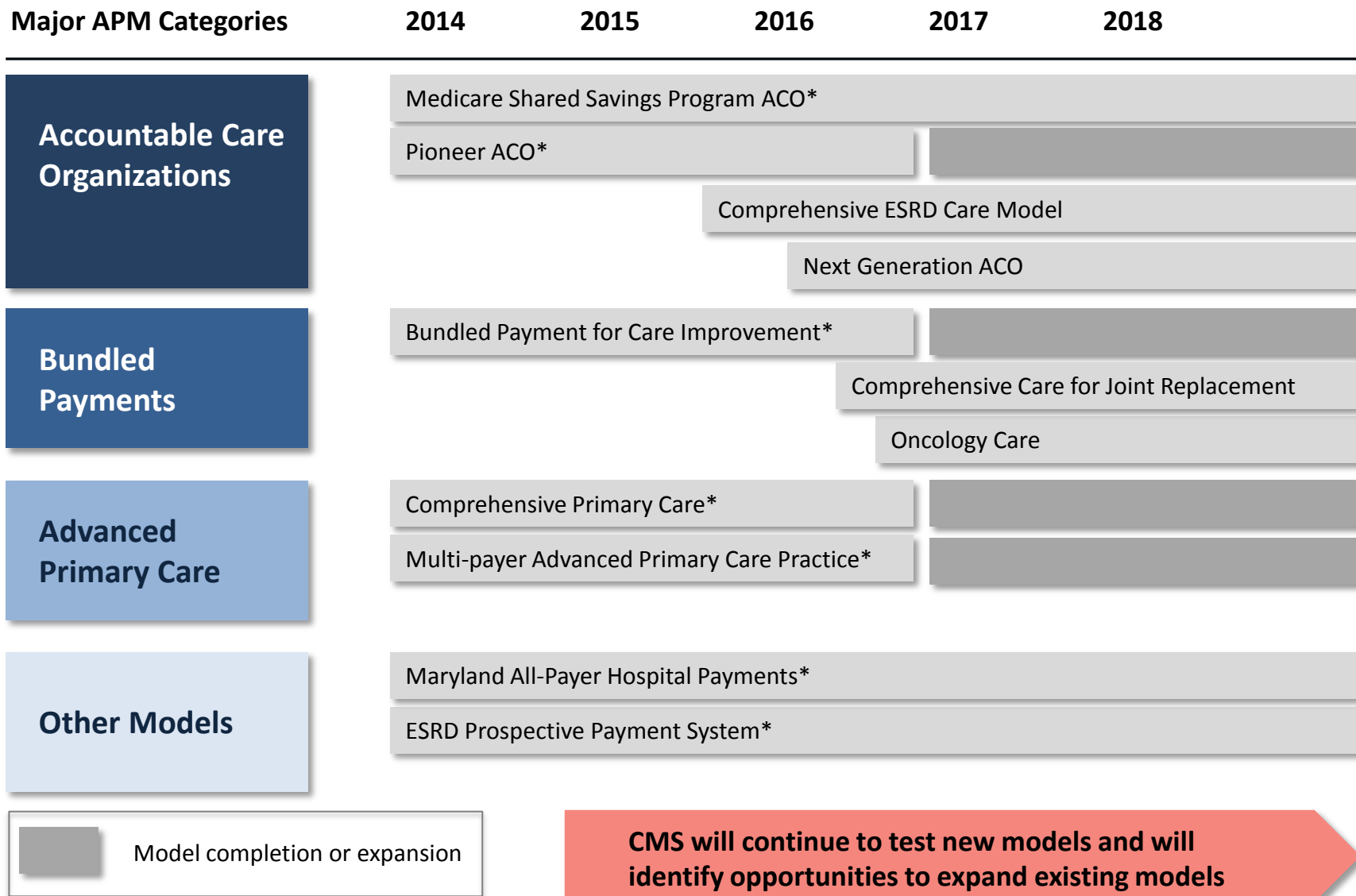


Set **internal** goals for HHS



Invite **private sector payers** to match or exceed HHS goals

# Medicare Met the Goal of 30% of Payments in APMs 1 Year EARLY



\* MSSP started in 2012, Pioneer started in 2012, BPCI started in 2013, CPC started in 2012, MAPCP started in 2011, Maryland All Payer started in 2014 ESRD PPS started in 2011

# Medicare Quality Payment Program

- ✓ **Repeals** the Sustainable Growth Rate (SGR) Formula
- ✓ **Streamlines** multiple quality reporting programs into the new Merit-based Incentive Payment System (MIPS)
- ✓ **Provides incentive payments** for participation in **Advanced Alternative Payment Models (APMs)**



**The Merit-based  
Incentive  
Payment System  
(MIPS)**

**or**

**Advanced  
Alternative  
Payment Models  
(APMs)**

- ✓ **First step to a fresh start**
- ✓ **We're listening and help is available**
- ✓ **A better, smarter Medicare for healthier people**
- ✓ **Pay for what works to create a Medicare that is enduring**
- ✓ **Health information needs to be open, flexible, and user-centric**



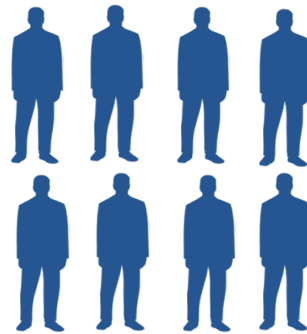
# Most clinicians will be subject to MIPS.

Subject to MIPS

Not in APM



In non-advanced APM



In advanced APM, but not a QP



QP in advanced APM



Some people may be in advanced APMs but not have enough payments or patients through the advanced APM to be a QP.

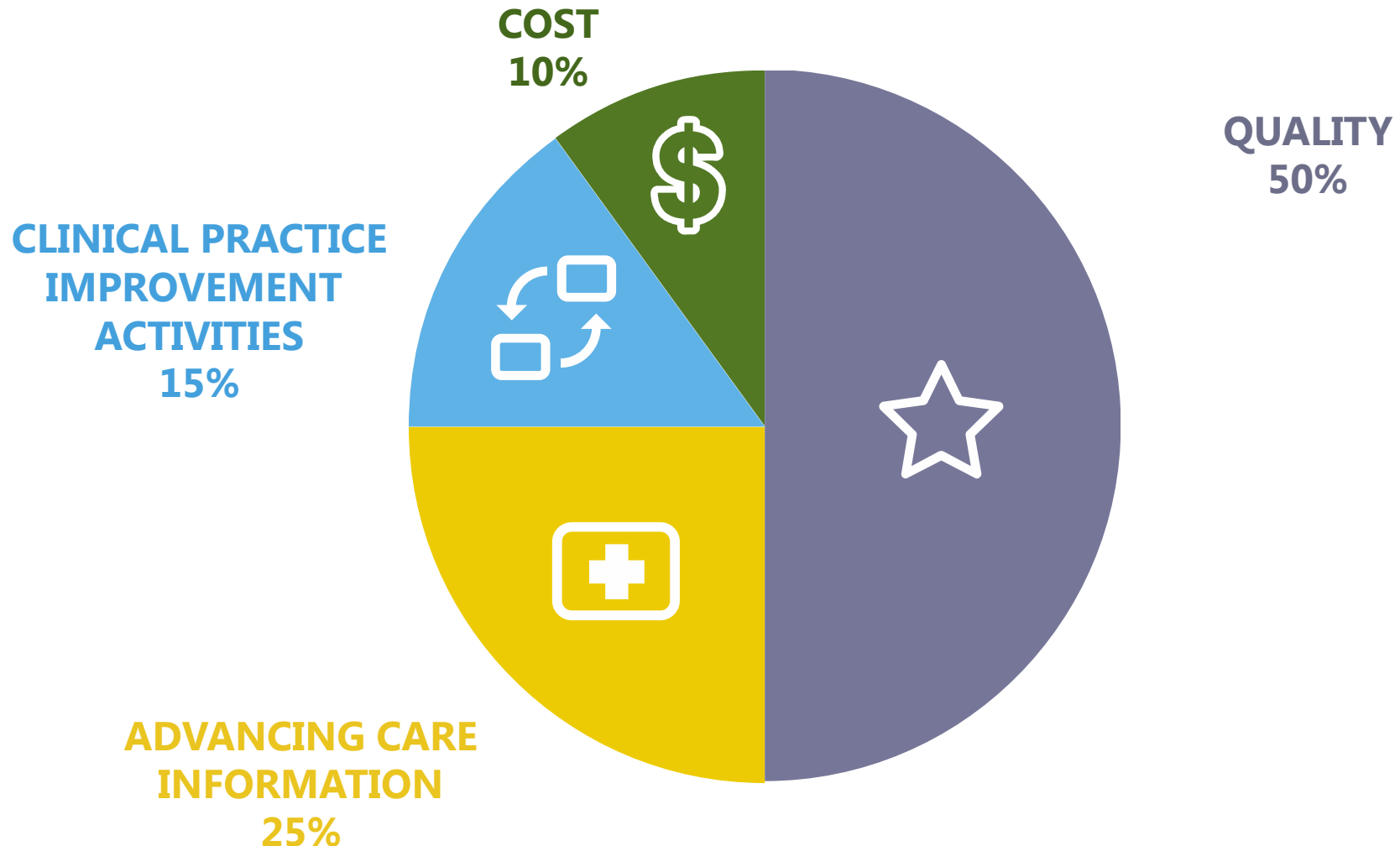
Note: Figure not to scale.

# MIPS Performance Categories

A single MIPS composite performance **score** will factor in performance in **4 weighted performance categories** on a **0-100 point scale**:

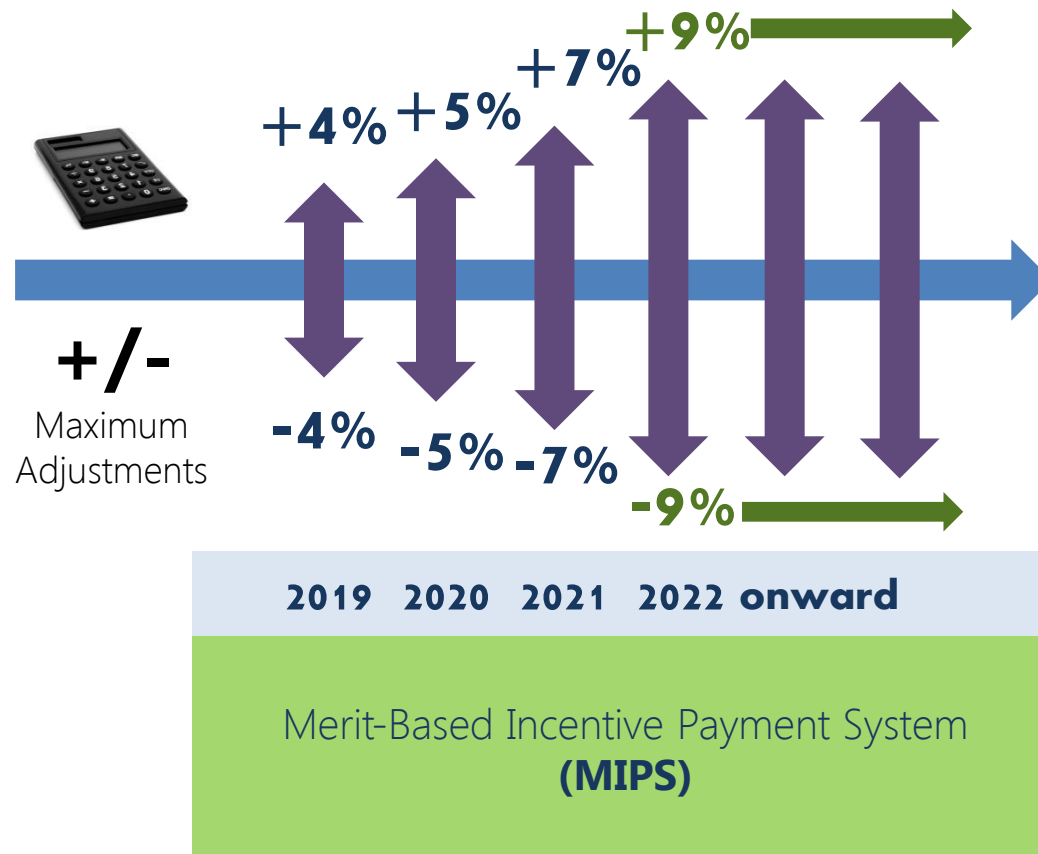


# Year 1 Performance Category Weights for MIPS



# How much can MIPS adjust payments?

Based on a CPS, clinicians will receive +/- or neutral adjustments up to the percentages below.



**Adjusted**  
Medicare Part  
B **payment** to  
clinician

The potential maximum adjustment % will increase each year from 2019 to 2022

# The Quality Payment Program provides **additional** rewards for participating in **APMs**.



Potential financial rewards

**Not in APM**

MIPS adjustments

**In APM**

MIPS adjustments

+

APM-specific  
rewards

**In *Advanced* APM**

APM-specific  
rewards

+

**5% lump sum  
bonus**

If you are a  
**Qualifying APM  
Participant (QP)**

# Advanced APMs meet certain criteria.



As defined by MACRA, advanced APMs **must meet the following criteria:**

- ✓ The APM requires participants to use **certified EHR technology**.
- ✓ The APM **bases payment on quality** measures comparable to those in the MIPS quality performance category.
- ✓ The APM either: **(1)** requires APM Entities to bear more than nominal **financial risk** for monetary losses; **OR (2)** is a **Medical Home Model expanded** under CMMI authority.

# Advanced APM Criterion 1:

## Requires use of Certified Health IT

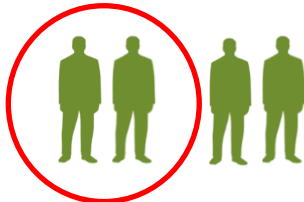


Certified  
EHR use

**Example:** An Advanced APM has a provision in its participation agreement that at least 50% of an APM Entity's eligible clinicians must use Certified Health IT.



APM  
Entity



Eligible  
Clinicians

- ✓ An Advanced APM must **require at least 50% of the eligible clinicians in each APM Entity to use Certified Health IT** to document and communicate clinical care. The threshold will **increase to 75%** after the first year.
- ✓ For the **Shared Savings Program only**, the APM may apply a **penalty or reward** to APM entities based on the degree of Certified Health IT use among its eligible clinicians.

## **Proposed Rule Advanced APMs**

**Based on the proposed criteria, which current APMs will be Advanced APMs in 2017?**

- ✓ **Shared Savings Program** (Tracks 2 and 3)
- ✓ **Next Generation ACO Model**
- ✓ **Comprehensive ESRD Care (CEC)** (large dialysis organization arrangement)
- ✓ **Comprehensive Primary Care Plus (CPC+)**
- ✓ **Oncology Care Model (OCM)** (two-sided risk track available in 2018)



# What about **private payer** or **Medicaid APMs**?

## Can they help me qualify to be a **QP**?

Starting in **2021**, **some** arrangements with other non-Medicare payers can **count toward** becoming a QP.

**"All-Payer Combination Option"**

**IF** the "Other Payer APMs" meet criteria similar to those for Advanced APMs, CMS will consider them "Other Payer Advanced APMs":



**Certified  
EHR use**

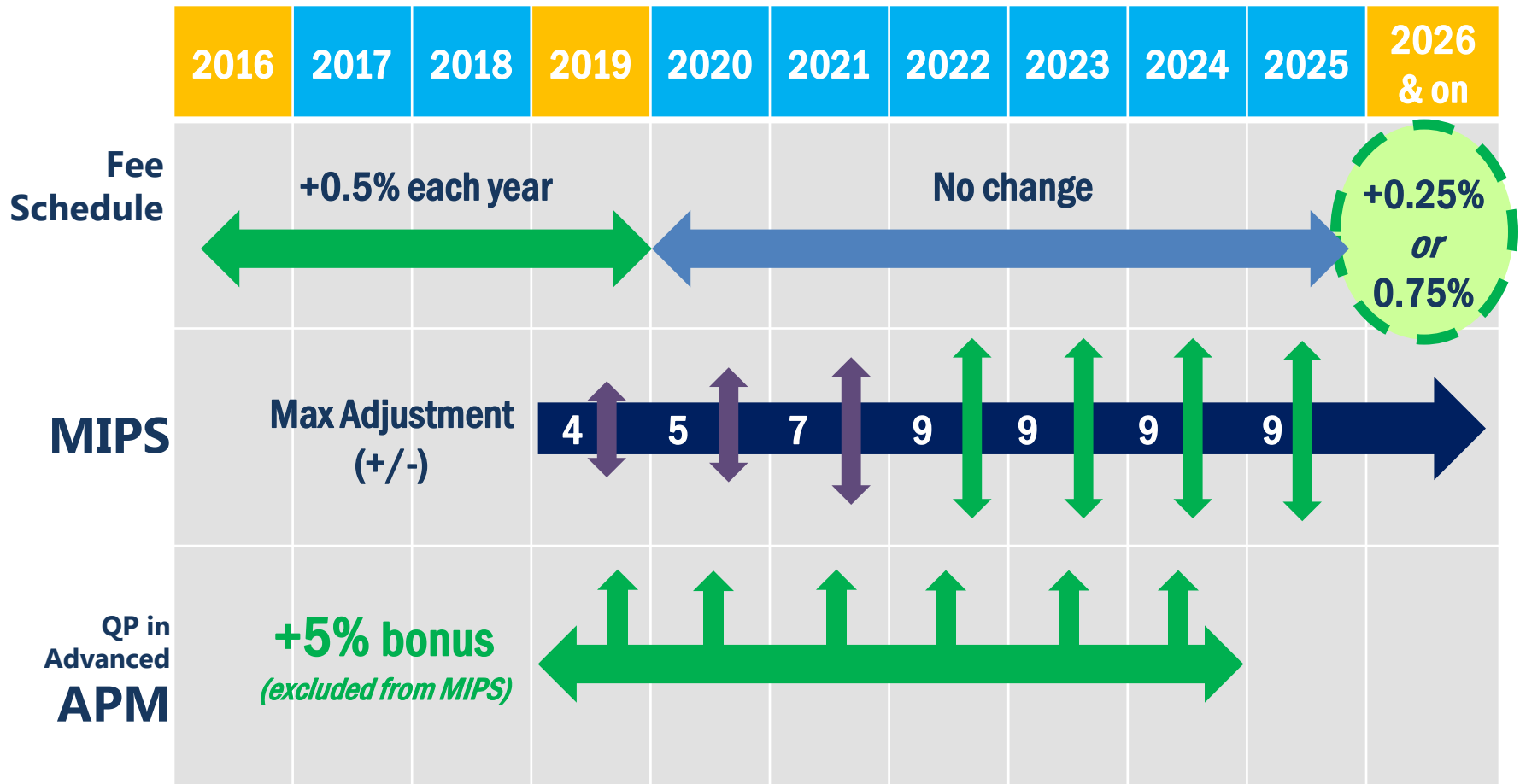


**Quality  
Measures**



**Financial  
Risk**

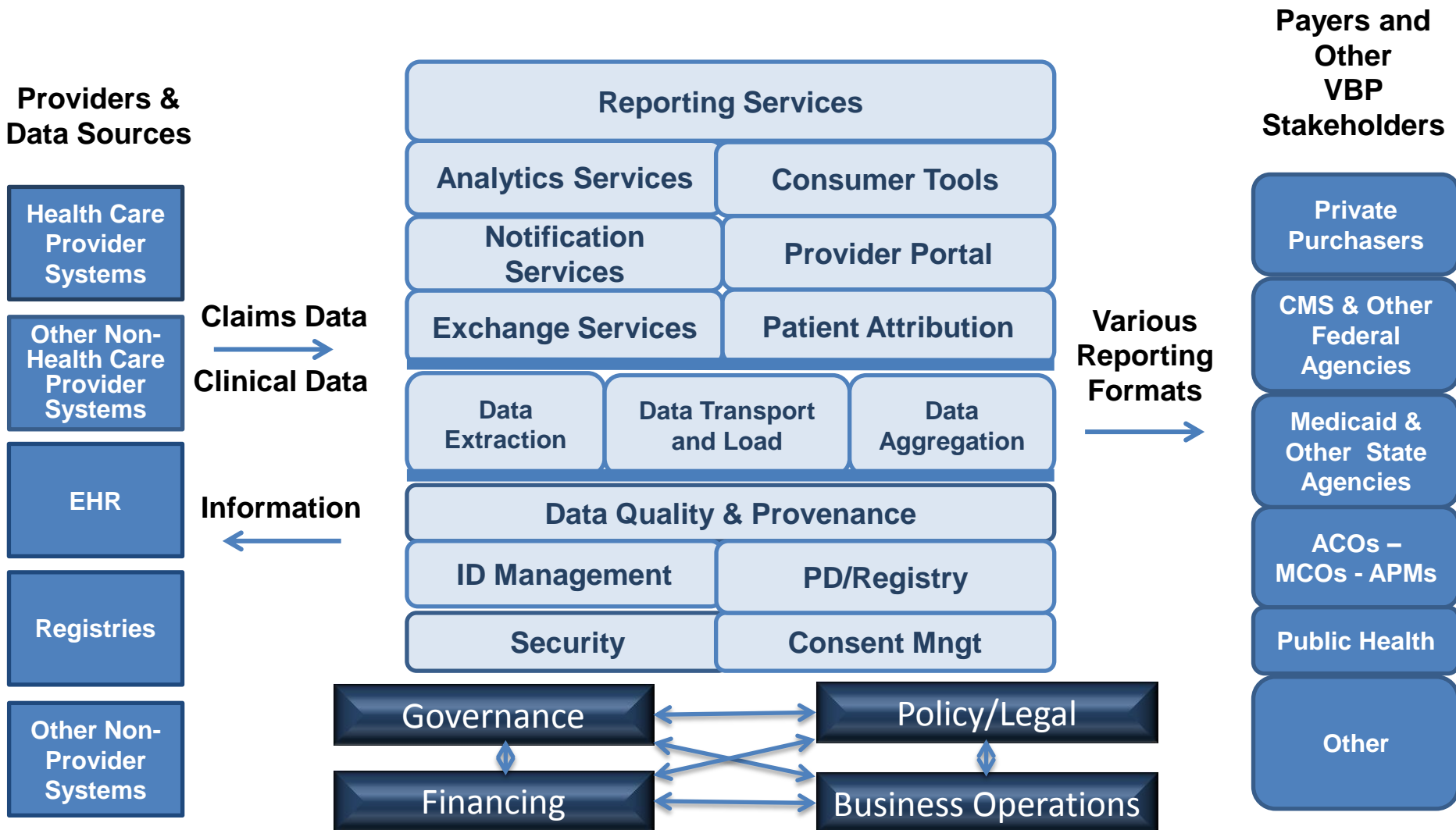
# Putting it all together:



# Medicare Payment Reform alone will not drive interoperability

- APMs offer a number of opportunities to reinforce the adoption of health information exchange capabilities and HIT tools that are instrumental to providers succeeding within these models.
- Advanced Medicare APMs will require use of certified health IT among eligible clinicians
- Multi-payer alignment of incentives or requirements for interoperability will drive provider behavior and uniform adoption of standards through certification.
- State policies will also reinforce interoperability through Medicaid waivers, State Plan Amendments (e.g., health home requirements), Managed Care Contract requirements, Medicaid matching fund policies, and other state driven mandates or incentives

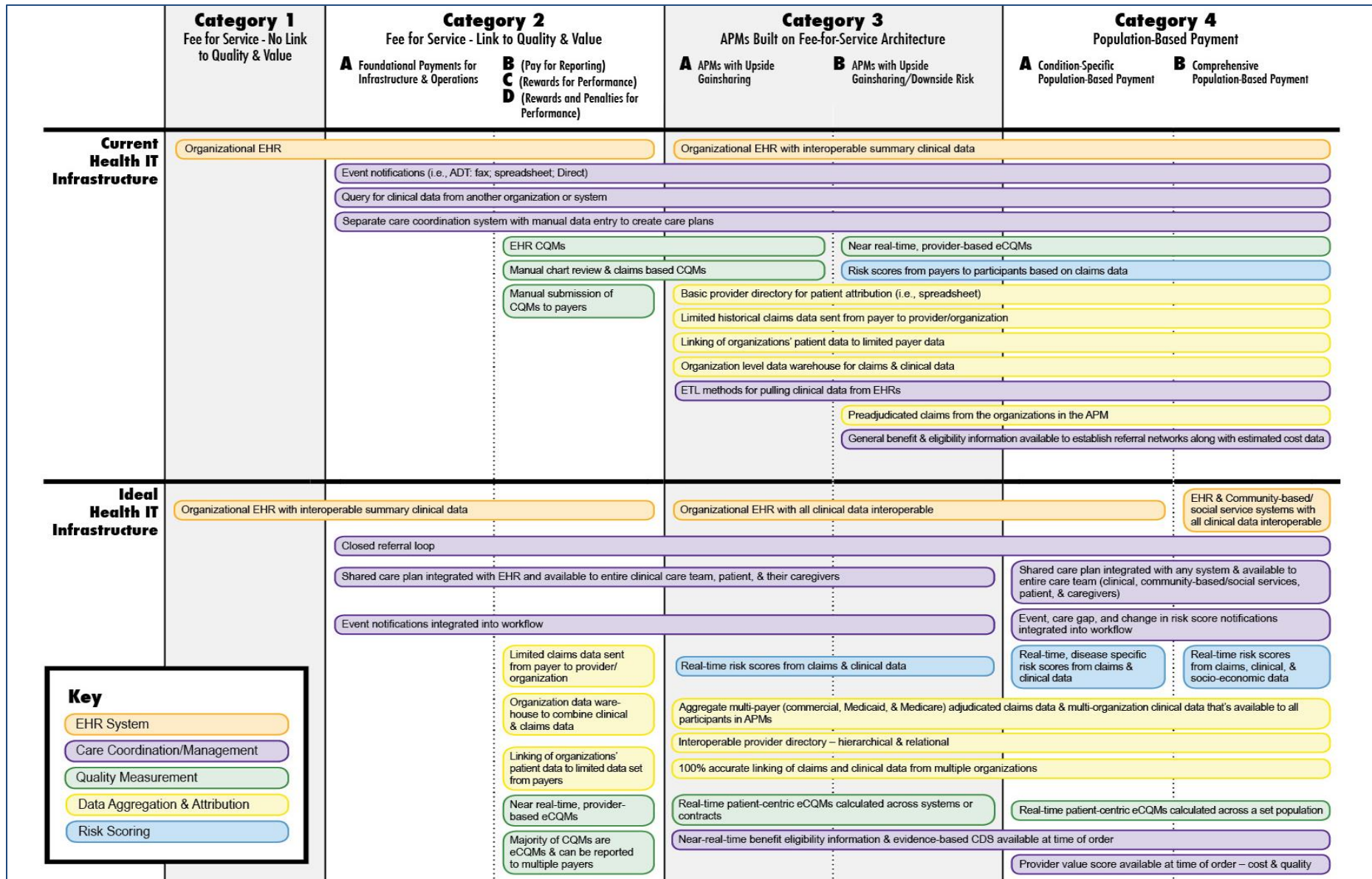
# HIT Modular Functions for Value Based Payment



# Key Insights from States on Multi-Stakeholder Collaboration for APM Data Infrastructure

- Focus on 1-2 high value use cases valuable to providers and payers, i.e., improve measurement, reporting and performance
- Assess existing data assets statewide (APCD, HIEs, CDRs, Medicare QEs, etc.) to determine if they meet requirements
- Need a neutral convener and facilitator
  - Starting with a multi-payer process with provider input has been effective
  - Find the right committed partners at the right level in respective payer organizations (senior level clinician managers)
  - State shouldn't necessarily lead but definitely be at the table and fully engaged
- Keep process nimble, flexible, informal initially
- Get front line clinician input into user design of reporting tools to ensure value and usability in practices
- CMS Data Use Agreement can permit access to Medicare data for APMs like CPC

# Health IT and APM Framework





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Questions? [Kelly.cronin@hhs.gov](mailto:Kelly.cronin@hhs.gov)



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# **BOARD OBJECTIVES &** **MILESTONES FOR FY-16-17**



# **DISTRICT'S HIE MISSION**

*Reduce health disparities, improve health outcomes and better health care delivery by enabling the secure and cohesive exchange health information in the District of Columbia.*

# OVERALL HIE GOALS

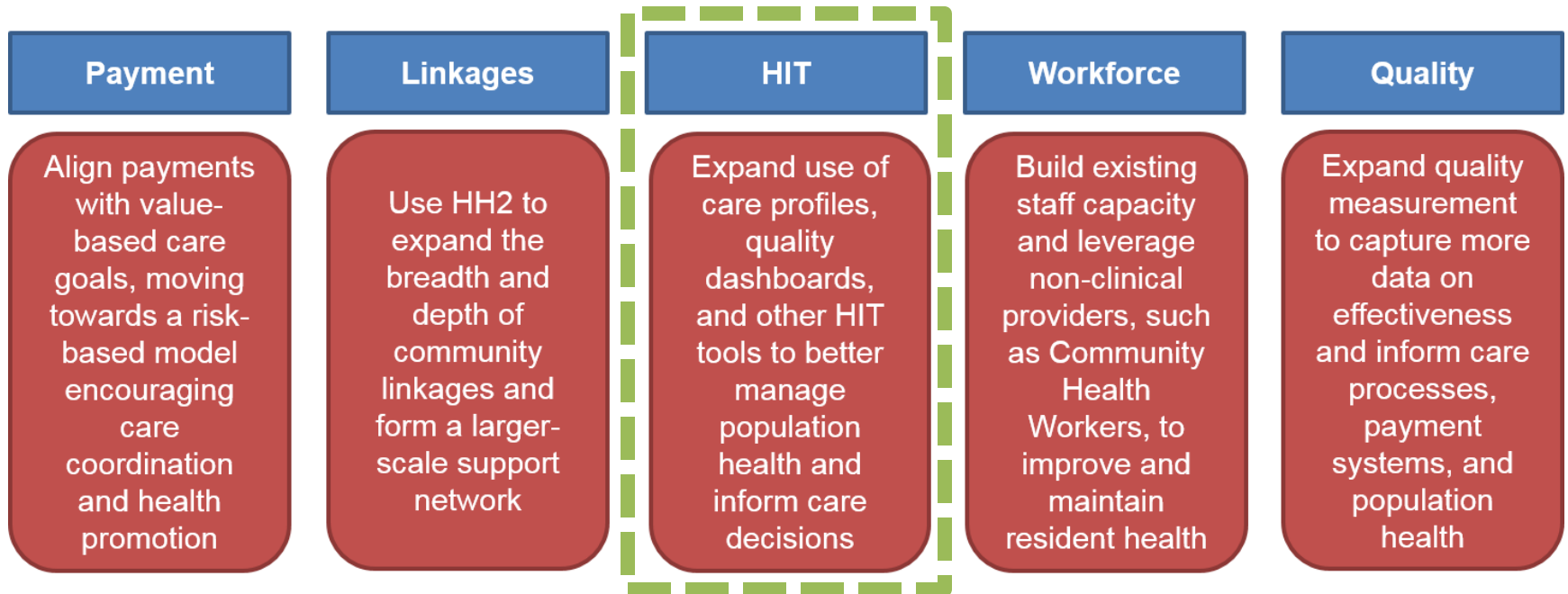
- Allow health-related data to be accessible and actionable at the *right place*, at the *right time*, and in the *right format*
- Integrate traditional *data silos* into end-user's workflow to provide *broader picture* of a person's overall health
- Support efforts to move healthcare in the District from reactive to *proactive*

# FUTURE DC HEALTHCARE

## Long-term Objectives for Care Delivery Transformation



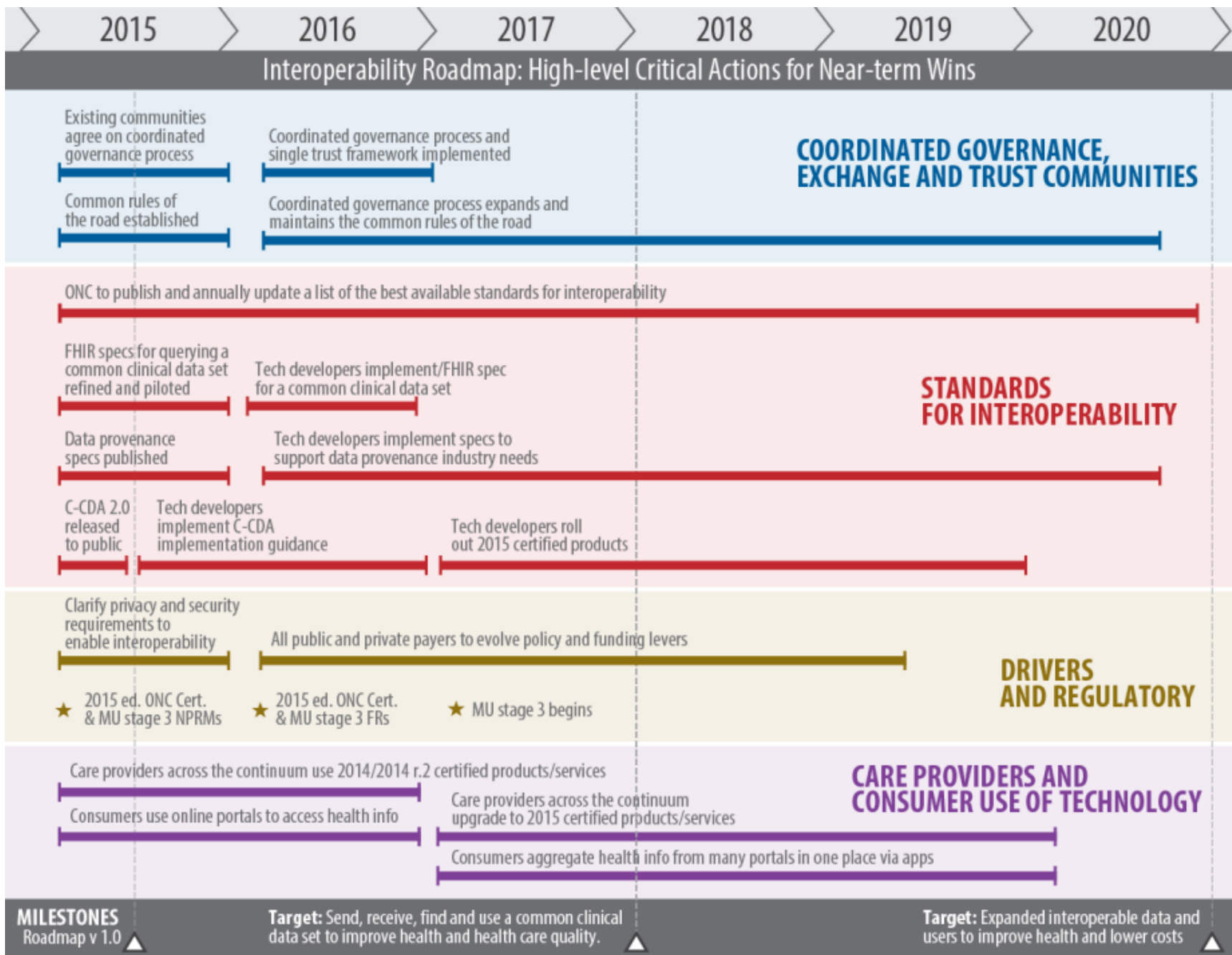
Leverage new capabilities and competencies in person-centered care delivery to implement a broader structure supported by payment reforms and capacity building benefiting the larger District population



# DC TRANSFORMATION ROAD MAP

***NOTE: Illustrative example from SIM PMWG. For discussion purposes only.***

	2017	2018	2019	2020	2021
Key Activities	<ul style="list-style-type: none"><li>Baseline year</li></ul>	Year 1 of P4P payments	Menu of Payment Options (P4P, APMs)		
Base Payment	Enhanced FFS		<ul style="list-style-type: none"><li>Enhanced FFS; or</li><li>APM (e.g. Shared Savings; Full-Risk)</li></ul>		
Supplemental Payment(s)	<ul style="list-style-type: none"><li>Care Coordination Payments (HH1, HH2, EPD, DD, MCO)</li><li>P4P (e.g. bonuses and/or penalties related to readmission rates, preventable IP/ED use, hospital acquired conditions)</li><li>Other (e.g. partnership with Hospital ACO)</li></ul>				
Capacity Building	<ul style="list-style-type: none"><li>Health Information Exchange</li><li>Health Home 1 and 2 (e.g. flexible PMPM dollars)</li><li>Accountable Health Communities (e.g. screening/referral resource)</li><li>Lump Sum Payment for APM/Capacity Building (see Medicare)</li></ul>				
Outcomes	Set baseline for LANE, Re-admissions, and IP measures	Set reduction targets (%)	<ul style="list-style-type: none"><li>Reset baseline</li><li>Add measures based on data/priorities</li></ul>	Reset baseline	Reset baseline
Non-Traditional FFS Payments	<ul style="list-style-type: none"><li>0% APM</li><li>30% tied to value</li></ul>	<ul style="list-style-type: none"><li>20% APM</li><li>50% tied to value</li></ul>	<ul style="list-style-type: none"><li>30% APM</li><li>70% tied to value</li></ul>	<ul style="list-style-type: none"><li>50% APM</li><li>90% tied to value</li></ul>	



# **OBJECTIVES & MILESTONES**

## **FOR FY16-17**

#	<u>OBJECTIVE</u>	<u>MILESTONE</u>
1)	✓ <b>Define DC's HIE environment</b>	<input type="checkbox"/> Achieve CMS' approval for IAPD-U <input type="checkbox"/> Establish min. capacities/functionality standards for a DC-recognized HIE entity (e.g. interoperability; security; HISP) <input type="checkbox"/> Publish & award competitive grants to HIE entities that meet DC standards, and have the capacity to launch initiatives approved in IAPD <input type="checkbox"/> Document DC-recognized HIE entity standards in legislation/regulation
2)	✓ <b>Complete 'map' of available data, data stores and data flows in DC</b>	<input type="checkbox"/> Document relationship between DOH's various data stores, & where data flows to/from them <input type="checkbox"/> Incorporate information on Behavioral Health and LTC Providers

# **OBJECTIVES & MILESTONES**

## **FOR FY16-17**

<b><u>#</u></b>	<b><u>OBJECTIVE</u></b>	<b><u>MILESTONE</u></b>
<b>3)</b>	✓ <b>Determine strategy to address barriers/challenges highlighted in District Data Map</b>	<input type="checkbox"/> Draft a resolution Action Plan <ul style="list-style-type: none"> <li>- Specific mitigation solutions incorporating input from key stakeholders that are involved/affected</li> </ul>
<b>4)</b>	✓ <b>Select priority areas for FY18-19 IAPD</b>	<input type="checkbox"/> Establish a Priority Use-Case Repository <ul style="list-style-type: none"> <li>- Process to review, analyze, and prioritize potential use cases</li> </ul>
<b>5)</b>	✓ <b>Develop 5-10 year plan for HIE in District</b>	<input type="checkbox"/> Including the development of a long-term sustainability strategy <ul style="list-style-type: none"> <li>- Moves beyond CMS 90/10 IAPD funds</li> </ul>



# **FEEDBACK ON DISTRICT'S** **STATE HEALTH INNOVATION** **PLAN (SHIP)**





**NEXT STEPS?**