



Department of Health Care Finance

# **ATTACHMENTS**

## **REQUEST FOR APPLICATIONS**

### **Business Transformation Grant**

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- A) Certifications**
  - B) Program Budget and Budget Justification Template**
  - C) Federal Assurances**

GOVERNMENT OF THE DISTRICT OF COLUMBIA  
DEPARTMENT OF HEALTH CARE FINANCE (DHCF)



**Statement of Certification**

- A. Applicant/Grantee has provided the individuals, by name, title, address, and phone number who are authorized to negotiate with the Agency on behalf of the organization; (attach)
- B. Applicant/Grantee is able to maintain adequate files and records and can and will meet all reporting requirements;
- C. All fiscal records are kept in accordance with Generally Accepted Accounting Principles (GAAP) and account for all funds, tangible assets, revenue, and expenditures whatsoever; that all fiscal records are accurate, complete and current at all times; and that these records will be made available for audit and inspection as required by the Grant Administrator;
- D. All costs incurred under this grant must be in accordance with the Office of Management and Budget (OMB) Circular A-122, "Cost Principals for Non-Profit Organizations."
- E. Applicant/Grantee states whether it, or where applicable, any of its officers, partners, principles, members, associates or key employees, within the last three (3) years prior to the date of the application, has:
  - a. Been indicted or had charges brought against them (if still pending) and/or been convicted of:
    - i. Any crime or offense arising directly or indirectly from the conduct of the applicant's organization, or
    - ii. Any crime or offense involving financial misconduct or fraud; or
  - b. Been the subject of legal proceedings arising directly from the provision of services by the organization.
- F. If any response to the disclosures referenced in (E.) is in the affirmative, the applicant shall fully describe such indictments, charges, convictions, or legal proceedings (and the status and disposition thereof) and surrounding circumstances in writing and provide documentation of the circumstances.
- G. Applicant/Grantee is in compliance with D.C. Official Code § 1-328.15.
- H. Applicant/Grantee is current on payment of all federal and District taxes, including Unemployment Insurance taxes and Workers' Compensation premiums. This statement of certification shall be accompanied by a certificate from the District of Columbia OTR stating

that the entity has complied with the filing requirements of District of Columbia tax laws and has paid taxes due to the District of Columbia, or is in compliance with any payment agreement with OTR; (attach)

- I. Applicant/Grantee has the demonstrated administrative and financial capability to provide and manage the proposed services and ensure an adequate administrative, performance and audit trail;
- J. That, if required by the grant making Agency, the Applicant/Grantee is able to secure a bond, in an amount not less than the total amount of the funds awarded, against losses of money and other property caused by fraudulent or dishonest act committed by any employee, board member, officer, partner, shareholder, or trainee;
- K. That the Applicant/Grantee is not proposed for debarment or presently debarred, suspended, or declared ineligible, as required by Executive Order 12549, "Debarment and Suspension," and implemented by 2 CFR 180, for prospective participants in primary covered transactions and is not proposed for debarment or presently debarred as a result of any actions by the District of Columbia Contract Appeals Board, the Office of Contracting and Procurement, or any other District contract regulating Agency;
- L. That the Applicant/Grantee has the financial resources and technical expertise necessary for the production, construction, equipment and facilities adequate to perform the grant or sub-grant, or the ability to obtain them;
- M. That the Applicant/Grantee has the ability to comply with the required or proposed delivery or performance schedule, taking into consideration all existing and reasonably expected commercial and governmental business commitments;
- N. That the Applicant/Grantee has a satisfactory record of performing similar activities as detailed in the award or, if the grant award is intended to encourage the development and support of organizations without significant previous experience, that the Applicant/Grantee has otherwise established that it has the skills and resources necessary to perform the grant. In this connection, Agencies may report their experience with an Applicant/Grantee's performance to OPGS which shall collect such reports and make the same available on its intranet website.
- O. That the Applicant/Grantee has a satisfactory record of integrity and business ethics;
- P. That the Applicant/Grantee has the necessary organization, experience, accounting and operational controls, and technical skills to implement the grant, or the ability to obtain them;
- Q. That the Applicant/Grantee is in compliance with the applicable District licensing and tax laws and regulations;
- R. That the Applicant/Grantee complies with provisions of the Drug-Free Workplace Act; and
- S. That the Applicant/Grantee meets all other qualifications and eligibility criteria necessary to receive an award under applicable laws and regulations.
- T. That the Applicant/Grantee agrees to indemnify, defend and hold harmless the Government of the District of Columbia and its authorized officers, employees, agents and volunteers

from any and all claims, actions, losses, damages, and/or liability arising out of this grant or sub-grant from any cause whatsoever, including the acts, errors or omissions of any person and for any costs or expenses incurred by the District on account of any claim therefore, except where such indemnification is prohibited by law.

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As the duly authorized representative of the Applicant/Grantee, I hereby certify that the Applicant/Grantee will comply with the above certifications.

**Applicant/Grantee Name:** \_\_\_\_\_

\_\_\_\_\_  
**Street Address**                      **City** \_\_\_\_\_ **State** \_\_\_\_\_ **Zip Code** \_\_\_\_\_

**RFA Number:** \_\_\_\_\_ **Applicant IRS Number:** \_\_\_\_\_

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Name and Title of Authorized Representative:** \_\_\_\_\_  
\_\_\_\_\_

## Program Budget and Budget Justification Template\*

### Department of Health Care Finance Budget Projection RFA #DHCF- PTC TA-2022

| GRANT SPENDING PLAN  |                            |   |                                  |                           |
|----------------------|----------------------------|---|----------------------------------|---------------------------|
| GRANT NAME           |                            | Produce Prescription Program for Medicaid and Other Public Insurance Programs Grant |                                  |                           |
| RFA INITIATIVE       | DESCRIPTION                | PLANNED BUDGET  | BUDGET NARRATIVE / JUSTIFICATION |                           |
| <b>Initiative #1</b> | [Insert brief description] | TOTAL: 0.00   |                                  |                           |
|                      | SUB-TASKS                  |   |                                  |                           |
|                      | #                          | Description   | Sub-Total                        | Narrative / Justification |
|                      | 001                        |   | 0.00                             |                           |
|                      | 002                        |   | 0.00                             |                           |
|                      | Etc.                       |   | 0.00                             |                           |
| <b>Initiative #2</b> | [Insert brief description] | TOTAL: 0.00   |                                  |                           |
|                      | SUB-TASKS                  |   |                                  |                           |
|                      | #                          | Description   | Sub-Total                        | Narrative / Justification |
|                      | 001                        |   | 0.00                             |                           |
|                      | 002                        |   | 0.00                             |                           |
|                      | Etc.                       |   | 0.00                             |                           |
| <b>Initiative #3</b> | [Insert brief description] | TOTAL: 0.00   |                                  |                           |
|                      | SUB-TASKS                  |   |                                  |                           |
|                      | #                          | Description   | Sub-Total                        | Narrative / Justification |
|                      | 001                        |   | 0.00                             |                           |
|                      | 002                        |   | 0.00                             |                           |
|                      | Etc.                       |   | 0.00                             |                           |
| <b>Initiative #4</b> | [Insert brief description] | TOTAL: 0.00   |                                  |                           |
|                      | SUB-TASKS                  |   |                                  |                           |
|                      | #                          | Description   | Sub-Total                        | Narrative / Justification |
|                      | 001                        |   | 0.00                             |                           |
|                      | 002                        |   | 0.00                             |                           |
|                      | Etc.                       |   | 0.00                             |                           |
| <b>Initiative #5</b> | [Insert brief description] | TOTAL: 0.00   |                                  |                           |
|                      | SUB-TASKS                  |   |                                  |                           |
|                      | #                          | Description   | Sub-Total                        | Narrative / Justification |
|                      | 001                        |   | 0.00                             |                           |
|                      | 002                        |   | 0.00                             |                           |
|                      | Etc.                       |   | 0.00                             |                           |
|                      |                            | GRAND TOTAL: \$0.00   |                                  |                           |
| Prepared By:         |                            |   |                                  |                           |
| Telephone:           |                            |   |                                  |                           |

\*NOTE: This is an example budget template but use of the template is not required. If you'd like a fillable, Excel budget template file, please email [Brion.Elliott@dc.gov](mailto:Brion.Elliott@dc.gov).

## C)Federal Assurances

### GOVERNMENT OF THE DISTRICT OF COLUMBIA DEPARTMENT OF HEALTH CARE FINANCE (DHCF)



#### **Statement of Certification**

Applicant/Grantee hereby assures and certifies compliance with all Federal statutes, regulations, policies, guidelines, and requirements, including 2 CFR Part 200; E.O. 12372 and Uniform Administrative Requirements for Grants and Cooperative Agreements –29 CFR Part 97, Common Rule that govern the application, acceptance and use of Federal funds for this federally-assisted project.

In addition, the Applicant/Grantee assures and certifies that:

1. It possesses legal authority to apply for the grant; that a resolution motion or similar action has been duly adopted or passed as an official act of the Applicant/Grantee's governing body, authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the Applicant/Grantee to act in connection with the application and to provide such additional information as may be required.
2. It will comply with requirements of the provisions of the Uniform Relocation Assistance and Real Property Acquisitions Policies Act of 1970 (PL 91-646) which provides for fair and equitable treatment of persons displaced as a result of Federal and federally assisted programs.
3. It will comply with provisions of Federal law which limit certain political activities of employees of a State or local unit of government whose principal employment is in connection with an activity financed in whole or in part by Federal grants. (5 USC 1501, *et seq.*).
4. It will comply with the minimum wage and maximum hour's provisions of the Federal Fair Labor Standards Act, if applicable.
5. It will establish safeguards to prohibit employees from using their positions for a purpose that is or gives the appearance of being motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business, or other ties.
6. It will give the Federal grantor agency and the Comptroller General, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the grant.
7. It will comply with all requirements imposed by the Federal grantor agency concerning special requirements of Law, program requirements, and other administrative requirements.
8. It will ensure that the facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the project are not listed on the Environmental Protection Agency's (EPA) list of Violating

Facilities and that it will notify the Federal grantor agency of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating that a facility to be used in the project is under consideration for listing by the EPA.

9. It will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973, PL 93-234, 87 Stat. 975, approved December 31, 1976. Section 102(a) requires, on and after March 2, 1975, the purchase of flood insurance in communities where such insurance is available as a condition for the receipt of any Federal financial assistance for construction or acquisition purposes for use in any area that has been identified by the Secretary of the Department of Housing and Urban Development as an area having special flood hazards. The phrase "Federal Financial Assistance" includes any form of loan, grant, guaranty, insurance payment, rebate, subsidy, disaster assistance loan or grant, or any other form of direct or indirect Federal assistance.

10. It will assist the Federal grantor agency in its compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (PL 113-287; 54 USC 306108), Executive Order 11593, and the Archeological and Historical Preservation Act of 1966 (54 USC 312501-312508)) By (a) consulting with the State Historic Preservation Officer on the conduct of investigations, as necessary, to identify properties listed in or eligible for inclusion in the National Register of Historic Places that are subject to adverse effects (see 36 CFR 800.8) by the activity, and notifying the Federal grantor agency of the existence of any such properties, and by (b) complying with all requirements established by the Federal grantor agency to avoid or mitigate adverse effects upon such properties.

11. It will comply with the provisions of 28 CFR applicable to grants and cooperative agreements including Part 18, Administrative Review Procedure; Part 22, Confidentiality of Identifiable Research and Statistical Information; Part 42, Nondiscrimination/Equal Employment Opportunity Policies and Procedures; Part 61, Procedures for Implementing the National Environmental Policy Act; Part 63, Floodplain Management and Wetland Protection Procedures; and Federal laws or regulations applicable to Federal Assistance Programs

12. It will comply, and all its contractors or subgrantees will comply with Title VI of the Civil Rights Act of 1964, as amended; Section 504 of the Rehabilitation Act of 1973, as amended; Subtitle A, Title III of the Americans with Disabilities Act (ADA) (1993); Title IX of the Education Amendments of 1972 and the Age Discrimination Act of 1975.

13. In the event of Federal or State court or Federal or State Administrative agency makes a finding of discrimination after a due process hearing on the grounds of race, color, religion, national origin, sex, or disability against a recipient of funds, the recipient will forward a copy of the finding to the Office for Civil Rights, US. Department of Justice.

14. It will provide an Equal Employment Opportunity Program if required to maintain one, where the application is for \$500,000 or more.

15. It will comply with the provisions of the Coastal Barrier Resources Act (PL 97-348) dated October 18, 1982, (16 USC 3501 et seq.) which prohibits the expenditure of most new Federal funds within the units of the Coastal Barrier Resources System.

16. In addition to the above, the Grantee shall comply with all the applicable District and Federal statutes and regulations as may be amended from time to time including, but not necessarily limited to:

- a. The Health Insurance Portability and Accountability Act of 1996, PL 104-191;
- b. The Hatch Act, 53 Stat. 1147 (5 USC 7321-7326);
- c. The Fair Labor Standards Act, 52 Stat. 1060 (29 USC 201 *et seq.*);
- d. The Clean Air Act (sub-grants over \$100,000) PL 88-206, December 17, 1963, 42 USC Chap. 85 *et seq.*;

- e. The Occupational Safety and Health Act of 1970, PL 91-596, Dec. 29, 1970, 84 Stat.1590 (29 USC 651 *et seq.*);
- f. The Hobbs Act (Anti-Corruption) (see 18 USC § 1951);
- g. Equal Pay Act of 1963, PL 88-38, June 10, 1963, 77 Stat. 56 (29 USC 206(d));
- h. Age Discrimination in Employment Act, PL 90-202, Dec. 15, 1967, 81 Stat. 602 (29 USC 621 *et seq.*);
- i. Immigration Reform and Control Act of 1986, PL 99-603, Nov 6, 1986, 100 Stat. 3359, (8 USC 1101 *et seq.*);
- j. Executive Order 12459 (Debarment, Suspension and Exclusion);
- k. Medical Leave Act of 1993, PL 103-3, Feb. 5, 1993, 107 Stat. 6 (28 USC 2601 *et seq.*);
- l. Lobbying Disclosure Act, PL 104-65, Dec. 19, 1995, 109 Stat. 691 (2 USC 1601 *et seq.*); m. Drug Free Workplace Act of 1988, PL 100-690, 102 Stat. 4304 (41 USC 8101 *et seq.*);
- n. Assurance of Nondiscrimination and Equal Opportunity as found in 29 CFR 38.25;
- o. District of Columbia Human Rights Act of 1977, D.C. Official Code § 2-1401.01 *et seq.*; and
- p. District of Columbia Language Access Act of 2004, DC Law 15-167, D.C. Official Code § 2-1931 *et seq.*)

As a duly authorized representative of the Applicant/Grantee, I hear by certify that the Applicant/Grantee will comply with the above Federal statutes, regulations, policies, guidelines and requirements.

\_\_\_\_\_  
Applicant/Grantee Name

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
RFA Number

\_\_\_\_\_  
Applicant IRS Number

\_\_\_\_\_  
Printed Name of Authorized Representative

\_\_\_\_\_  
Title of Authorized Representative

\_\_\_\_\_  
Signature of Authorized Representative

Date: \_\_\_\_\_



