



District of Columbia Direct Care Worker Retention Bonus Payments



ATTACHMENTS A-C

Department of Health Care Finance
441 4th St. NW, Suite 900S
Washington, DC 20001
TEL: (202) 442-5988

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- A) **Certifications**
 - B) **Federal Assurances**
 - C) **Application and Attestation**

A) Certifications

GOVERNMENT OF THE DISTRICT OF COLUMBIA DEPARTMENT OF HEALTH CARE FINANCE (DHCF)



Statement of Certification

- A. Applicant/Grantee has provided the individuals, by name, title, address, and phone number who are authorized to negotiate with the Agency on behalf of the organization; (attach)
- B. Applicant/Grantee is able to maintain adequate files and records and can and will meet all reporting requirements;
- C. All fiscal records are kept in accordance with Generally Accepted Accounting Principles (GAAP) and account for all funds, tangible assets, revenue, and expenditures whatsoever; that all fiscal records are accurate, complete and current at all times; and that these records will be made available for audit and inspection as required by the Grant Administrator;
- D. All costs incurred under this grant must be in accordance with the Office of Management and Budget (OMB) Circular A-122, "Cost Principals for Non-Profit Organizations."
- E. Applicant/Grantee states whether it, or where applicable, any of its officers, partners, principles, members, associates or key employees, within the last three (3) years prior to the date of the application, has:
- a. Been indicted or had charges brought against them (if still pending) and/or been convicted of:
 - i. Any crime or offense arising directly or indirectly from the conduct of the applicant's organization, or
 - ii. Any crime or offense involving financial misconduct or fraud; or
 - b. Been the subject of legal proceedings arising directly from the provision of services by the organization.
- F. If any response to the disclosures referenced in (E.) is in the affirmative, the applicant shall fully describe such indictments, charges, convictions, or legal proceedings (and the status and disposition thereof) and surrounding circumstances in writing and provide documentation of the circumstances.
- G. Applicant/Grantee is in compliance with D.C. Official Code § 1-328.15.
- H. Applicant/Grantee is current on payment of all federal and District taxes, including Unemployment Insurance taxes and Workers' Compensation premiums. This statement of certification shall be accompanied by a certificate from the District of Columbia OTR stating that the entity has complied with the filing requirements of District of Columbia tax laws and has paid taxes due to the District of Columbia, or is in compliance with any payment agreement with OTR; (attach)
- I. Applicant/Grantee has the demonstrated administrative and financial capability to provide and manage the proposed services and ensure an adequate administrative, performance and audit trail;
- J. That, if required by the grant making Agency, the Applicant/Grantee is able to secure a bond, in an amount not less than the total amount of the funds awarded, against losses of money and other property caused by fraudulent or dishonest act committed by any employee, board member, officer, partner, shareholder, or trainee;

K. That the Applicant/Grantee is not proposed for debarment or presently debarred, suspended, or declared ineligible, as required by Executive Order 12549, "Debarment and Suspension," and implemented by 2 CFR 180, for prospective participants in primary covered transactions and is not proposed for debarment or presently debarred as a result of any actions by the District of Columbia Contract Appeals Board, the Office of Contracting and Procurement, or any other District contract regulating Agency;

L. That the Applicant/Grantee has the financial resources and technical expertise necessary for the production, construction, equipment and facilities adequate to perform the grant or sub- grant, or the ability to obtain them;

M. That the Applicant/Grantee has the ability to comply with the required or proposed delivery or performance schedule, taking into consideration all existing and reasonably expected commercial and governmental business commitments;

N. That the Applicant/Grantee has a satisfactory record of performing similar activities as detailed in the award or, if the grant award is intended to encourage the development and support of organizations without significant previous experience, that the Applicant/Grantee has otherwise established that it has the skills and resources necessary to perform the grant. In this connection, Agencies may report their experience with an Applicant/Grantee's performance to OPGS which shall collect such reports and make the same available on its intranet website.

O. That the Applicant/Grantee has a satisfactory record of integrity and business ethics;

P. That the Applicant/Grantee has the necessary organization, experience, accounting and operational controls, and technical skills to implement the grant, or the ability to obtain them;

Q. That the Applicant/Grantee is in compliance with the applicable District licensing and tax laws and regulations;

R. That the Applicant/Grantee complies with provisions of the Drug-Free Workplace Act; and

S. That the Applicant/Grantee meets all other qualifications and eligibility criteria necessary to receive an award under applicable laws and regulations.

T. That the Applicant/Grantee agrees to indemnify, defend and hold harmless the Government of the District of Columbia and its authorized officers, employees, agents and volunteers from any and all claims, actions, losses, damages, and/or liability arising out of this grant or sub-grant from any cause whatsoever, including the acts, errors or omissions of any person and for any costs or expenses incurred by the District on account of any claim therefore, except where such indemnification is prohibited by law.

As the duly authorized representative of the Applicant/Grantee, I hereby certify that the Applicant/Grantee will comply with the above certifications.

Applicant/Grantee Name: _____

Street Address: _____

City _____ **State** _____ **Zip Code** _____

RFA Number: _____ **Applicant IRS Number:** _____

Signature: _____ **Date:** _____

Name and Title of Authorized Representative: _____

B) Federal Assurances

GOVERNMENT OF THE DISTRICT OF COLUMBIA DEPARTMENT OF HEALTH CARE FINANCE (DHCF)



Statement of Certification

Applicant/Grantee hereby assures and certifies compliance with all Federal statutes, regulations, policies, guidelines and requirements, including OMB 2 CFR Part 200 that governs the application, acceptance and use of Federal funds for this federally-assisted project.

Also, the Applicant/Grantee assures and certifies that:

1. It possesses legal authority to apply for the grant; that a resolution motion or similar action has been duly adopted or passed as an official act of the Applicant/Grantee's governing body, authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the Applicant/Grantee to act in connection with the application and to provide such additional information as may be required.
2. It will comply with requirements of the provisions of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 PL 91-646 which provides for fair and equitable treatment of persons displaced as a result of Federal and federally-assisted programs.
3. It will comply with provisions of Federal law which limit certain political activities of employees of a State or local unit of government whose principal employment is in connection with an activity financed in whole or in part by Federal grants. (5 USC 1501, et seq.).
4. It will comply with the minimum wage and maximum hour's provisions of the Federal Fair Labor Standards Act, if applicable.
5. It will establish safeguards to prohibit employees from using their positions for a purpose that is or gives the appearance of being motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business, or other ties.
6. It will give the Federal grantor agency and the Comptroller General, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the grant.
7. It will comply with all requirements imposed by the Federal grantor agency concerning special requirements of Law, program requirements, and other administrative requirements.
8. It will ensure that the facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the project are not listed on the Environmental Protection Agency's (EPA) list of Violating Facilities and that it will notify the Federal grantor agency of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating that a facility to be used in the project is under consideration for listing by the EPA.
9. It will comply with the flood insurance purchase requirements of Section 102(a) of the Flood disaster Protection Act of 1973, PL 93-234, 87 Stat. 975, approved December 31, 1976. Section 102(a) requires, on and after March 2, 1975, the purchase of flood insurance in communities where such insurance is available as a condition for the receipt of any Federal financial assistance for construction or acquisition purposes for use in any area that has been identified by the Secretary of the Department of Housing and Urban Development as an area having special flood hazards. The phrase "Federal Financial Assistance" includes any form of loan, grant, guaranty, insurance payment, rebate, subsidy, disaster assistance loan or grant, or any other form of direct or indirect Federal assistance.
10. It will assist the Federal grantor agency in its compliance with Section 106 of the National Historic Preservation Act of 1966 as amended, Executive Order 11593, and the Archeological and Historical Preservation Act of 1966 by (a) consulting with the State Historic Preservation Officer on the conduct of investigations, as necessary, to identify properties listed in or eligible for inclusion in the National Register of Historic Places that are subject to adverse effects (see 36 CFR Section 800.8) by the activity, and notifying the Federal grantor agency of the existence of any such properties, and by (b) complying with all requirements established by the Federal grantor agency to avoid or mitigate adverse effects upon such properties.
11. It will comply with the provisions of 28 CFR applicable to grants and cooperative agreements including Part 18, Office of Justice Programs Hearing and Appeal Procedures; Part 22, Confidentiality of Identifiable Research and Statistical Information; Part 42, Nondiscrimination/Equal Employment Opportunity Policies and Procedures; Part 61,

Procedures for Implementing the National Environmental Policy Act; Part 63, Floodplain Management and Wetland Protection Procedures; and Federal laws or regulations applicable to Federal Assistance Programs.

12. It will comply, and all its contractors or subgrantees will comply with Title VI of the Civil Rights Act of 1964, as amended; Section 504 of the Rehabilitation Act of 1973, as amended; Subtitle A, Title III of the Americans with Disabilities Act (ADA) (1993); Title IIX of the Education Amendments of 1972 and the Age Discrimination Act of 1975.

13. In the event of Federal or State court or Federal or State Administrative agency makes a finding of discrimination after a due process hearing on the grounds of race, color, religion, national origin, sex, or disability against a recipient of funds, the recipient will forward a copy of the finding to the Office for Civil Rights, US. Department of Justice.

14. It will provide an Equal Employment Opportunity Program if required to maintain one, where the application is for \$500,000 or more.

15. It will comply with the provisions of the Coastal Barrier resources Act (PL 97-348) dated October 19, 1982, (16 USC 3501 et seq.) which prohibits the expenditure of most new Federal funds within the units of the Coastal Barrier Resources System.

16. In addition to the above, the Grantee shall comply with all the applicable District and Federal statutes and regulations as may be amended from time to time including, but not necessarily limited to:

- a. The Health Insurance Portability and Accountability Act of 1996, PL 104-191;
- b. The Hatch Act, Chap. 314, 24 Stat. 440 (7 USC 361a et seq.);
- c. The Fair Labor Standards Act, Chap. 676, 52 Stat. 1060 (29 USC 201 et seq.);
- d. The Clean Air Act (sub-grants over \$100,000) PL 104-201, February 24, 2004, 42 USC chap. 85 et seq.;
- e. The Occupational Safety and Health Act of 1970, PL 91-596, Dec. 29, 1970, 84 Stat.1590 (29 USC Chap. 15);
- f. The Hobbs Act (Anti-Corruption), Chap. 537, 60 Stat. 420 (see 18 USC § 1951);
- g. Equal Pay Act of 1963, PL 88-38, June 10, 1963, 77 Stat. 59 (29 USC 201);
- h. Age Discrimination in Employment Act, PL 90-202, Dec. 15, 1967, 81 Stat. 602 (29 USC 621 et seq.);
- i. Immigration Reform and Control Act of 1986, PL 99-603, Nov 6, 1986, 100 Stat. 3359, (8 USC 1101 et seq.);
- j. Executive Order 12459 (Debarment, Suspension and Exclusion);
- k. Medical Leave Act of 1993, PL 103-3, Feb. 5, 1993, 107 Stat. 6 (5 USC 6381 et seq.);
- l. Lobbying Disclosure Act, PL 104-65, Dec. 19, 1995, 109 Stat. 693 (2 USC Chapter 26);
- m. Drug Free Workplace Act of 1988, PL 100-690, 102 Stat. 4304 (41 USC 701 et seq.);
- n. Assurance of Nondiscrimination and Equal Opportunity as found in 29 CFR 34.20;
- o. District of Columbia Human Rights Act of 1977, D.C. Official Code § 2-1401.01;
- p. District of Columbia Language Access Act of 2004, DC Law 15-414, D.C. Official Code § 2-1931 et seq.).

As the duly authorized representative of the Applicant/Grantee, I hereby certify that the Applicant/Grantee will comply with the above certifications.

Applicant/Grantee Name: _____

Street Address: _____

City _____ **State** _____ **Zip Code** _____

RFA Number: _____ **Applicant IRS Number:** _____

Signature: _____ **Date:** _____

Name and Title of Authorized Representative: _____

Direct Care Worker Retention Bonus Payment (Attachment C)

Administrative Section

Applicant Organization Name

DC Medicaid Provider ID

Address

Please provide information for the applicant's representative authorized to negotiate with DHCF on behalf of the applicant organization and who will sign the form below:

Applicant's Authorized Representative Name and Title

10 Digit Phone Number

Email Address

Grant Information

Please provide information regarding the direct care workers eligible for Retention bonus payment and the total financial cost.

1. Number of Eligible Direct Care Workers Who Are Eligible to Receive or Will Receive a Retention Bonus Payment Through This Initiative:

2. Total Number of Full-Time Retention Bonus Payments Paid to Eligible Direct Care Workers:

3. Total Number of Part-Time Retention Bonus Payments Paid to Eligible Direct Care Workers:

4. Number of Eligible Part-Time Direct Care Workers Who Received or Will Receive a Conversion Bonus Payment:

5. Total Amount Requested for Retention Bonus Payments (item 2 * \$1,500) + (item 3 * \$750)

\$

Please Enter Y (Yes) or N (No) in the Boxes Below:

1. Funds this organization received under the Retention Bonus Payment Initiative will be used solely for the purpose of funding or supporting the costs of approved retention bonus payments.

2. This organization understands the requirement to engage with DHCF on any follow up questions post reward about the eligibility of any health care workers on the list and complying with any documentation requested by DCHF.

3. Fiscal records are kept in accordance with Generally Accepted Accounting Principles (GAAP).

4. This organization has an active District of Columbia business license, active insurance policies, as required for DC Medicaid provider enrollment, and can produce a District of Columbia Certificate of Good standing as of the date of application.

That the Applicant/Grantee agrees to indemnify, defend and hold harmless the Government of the District of Columbia and its authorized officers, employees, agents and volunteers from any and all claims, actions, losses, damages, and/or liability arising out of this grant or sub-grant from any cause whatsoever, including the acts, errors or omissions of any person and for any costs or expenses incurred by the District on account of any claim therefore, except where such indemnification is prohibited by law.

As a duly authorized representative of the Applicant/Grantee, I hereby certify that the Applicant/Grantee will comply with the above Federal statutes, regulations, policies, guidelines and requirements.

Applicant/Grantee Name

Street Address

City _____ State _____ Zip Code _____

RFA Number

Applicant IRS Number

Printed Name of Authorized Representative

Title of Authorized Representative

Signature of Authorized Representative

Date: _____