**1. Title Page for the State’s SUD Demonstration or SUD Components of Broader Demonstration**

| **State** |   | District of Columbia |
| --- | --- | --- |
| **Demonstration name** |   | Behavioral Health Transformation |
| **Approval date for demonstration** |   | 11/06/2019 |
| **Approval period for SUD** |   | 01/01/2020 – 12/31/2024 |
| **Approval date for SUD, if different from above** |   |  |
| **Implementation date of SUD, if different from above**  |   |  |
| **SUD (or if broader demonstration, then SUD -related) demonstration goals and objectives** |   | The goal of the demonstration is for the District to maintain and enhance access to opioid use disorder (OUD) and other substance use disorder (SUD) services; and continue delivery system improvements to provide more coordinated and comprehensivetreatment for Medicaid beneficiaries with SUD. This demonstration authorizes the District to receive federal financial participation (FFP) for delivering high-quality, clinically appropriate treatment to beneficiaries diagnosed with SUD and receiving treatment while they are short-term residents in settings that qualify as Institutions for Mental Diseases (IMD). This demonstration also complements the District’s efforts to implement models of care that are focused on increasing supports for individuals outside of institutions, in home and community-based settings (HCBS) to improve their access to SUD services at varied levels of intensity, and to combat OUD and other SUDs among District residents. |

**2. Proposed Modifications to SUD Narrative Information on Implementation, by Milestone or Reporting Topic**

| **Summary of proposed modification** | **Related metric (if any)** | **Justification for modification** |
| --- | --- | --- |
| **1. Assessment of Need and Qualification for SUD Services** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **2. Access to Critical Levels of Care for OUD and other SUDs (Milestone 1)** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **3. Use of Evidence-based, SUD-specific Patient Placement Criteria (Milestone 2)** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **4. Use of Nationally Recognized SUD-specific Program Standards to Set Provider Qualifications for Residential Treatment Facilities (Milestone 3)** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **5. Sufficient Provider Capacity at Critical Levels of Care including for Medication Assisted Treatment for OUD (Milestone 4)** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **6. Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5)** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **7. Improved Care Coordination and Transitions between Levels of Care (Milestone 6)** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **8. SUD Health Information Technology (Health IT)** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **9. Other SUD-related Metrics** |
| Please see notes in columns P and U of the monitoring workbook for additional information on metric issues. |  |  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **10. Budget Neutrality** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **11. SUD-Related Demonstration Operations and Policy** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **12. SUD Demonstration Evaluation Update** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **13. Other Demonstration Reporting** |
|  |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |
| **14. Notable State Achievements and/or Innovations** |
| *Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)* |  |  |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).  |

**3. Acknowledgement of Budget Neutrality Reporting-**

[x]  The state has reviewed the Budget Neutrality workbook provided by the project officer and understands the expectations for quarterly and annual monitoring reports. The state will provide the requested budget neutrality information (no modifications).

**4. Retrospective reporting**

If a state’s monitoring protocol is approved after its first quarterly monitoring report submission date, the state should report data to CMS retrospectively for any prior quarters of SUD demonstration implementation. States are expected to submit retrospective metrics data in the state’s second monitoring report submission after monitoring protocol approval, or propose an alternative plan for reporting retrospectively on its SUD demonstration.

In the monitoring report submission containing retrospective metrics data, the state should also provide a general assessment of metrics trends from the start of the state’s demonstration through the end of the current reporting period. The state should report this information in Part B of its report submission (Table 3: Narrative Information on Implementation, by Milestone and Reporting Topic). This general assessment is not intended to be a comprehensive description of every trend observed in metrics data (for example, unlike other monitoring report submissions, the state is not required to describe all metrics changes (+ or - greater than 2 percent). Rather, the assessment is an opportunity for states to provide context for its retrospective metrics data, to support CMS’s review and interpretation. For example, consider a state that submits data showing an increase in the number of medication assisted treatment (MAT) providers (Metric #14) over the course of the retrospective reporting period. The state may decide to highlight this trend to CMS in Part B of its report (under Milestone 4) by briefly summarizing the trend and providing context that during this period, the state implemented a grant that supported training for new MAT providers throughout the state.

[x]  The state will report retrospectively for any quarters prior to monitoring protocol approval as described above, in the state’s second monitoring report submission after protocol approval.

 [ ]  The state proposes an alternative plan to report retrospectively for any quarters prior to monitoring protocol approval:

**5. Reporting SUD Demonstration Metrics and Narrative Information**

The state should review the guidance in Appendix A of the instructions document in order to attest it will follow CMS’s guidance on reporting metrics and narrative information, or propose any deviations. The state should complete Table A below to reflect its proposed reporting schedule for the duration of its SUD demonstration approval period.

[x]  The state has completed the table below according to the guidance in Appendix A of the instructions document and attests to reporting metrics and narrative information in its quarterly and annual reports according as described.

[ ]  The state has reviewed Appendix A of the instructions document and completed the table below with the following deviations: *.*

**Table A. District of Columbia reporting in quarterly and annual monitoring reports**

| Dates of reporting quarter | SUD DY | Report due (per STCs schedule) | Measurement period associated with SUD information in report, by reporting category |
| --- | --- | --- | --- |
| January 1, 2020 – March 31, 2020 | DY1 Q1 | 7/31/2020 | * Narrative information: SUD DY1 Q1
* Other monthly and quarterly metrics: None
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| April 1, 2020 – June 30, 2020 | DY1 Q2 | 8/29/2020 | * Narrative information: SUD DY1 Q2
* Grievances and appeals (no critical incidents): SUD DY1 Q2
* Other monthly and quarterly metrics: SUD DY1 Q1
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| July 1, 2020 – September 30, 2020 | DY1 Q3 | 11/29/2020 | * Narrative information: SUD DY1 Q3
* Grievances and appeals (no critical incidents): SUD DY1 Q3
* Other monthly and quarterly metrics: SUD DY1 Q2
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| October 1, 2020 – December 31, 2020 | DY1 Q4 | 3/30/2021 | * Narrative information: SUD DY1 Q4
* Grievances and appeals: SUD DY1 Q4
* Other monthly and quarterly metrics: SUD DY1 Q3
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| January 1, 2021 – March 31, 2021 | DY2 Q1 | 5/30/2021 | * Narrative information: SUD DY2 Q1
* Grievances and appeals: SUD DY2 Q1
* Other monthly and quarterly metrics: SUD DY1 Q4
* Annual metrics that are established quality measures: None
* Other annual metrics: SUD DY1 (calculated for DY1)
 |
| April 1, 2021 – June 30, 2021 | DY2 Q2 | 8/29/2021 | * Narrative information: SUD DY2 Q2
* Grievances and appeals: SUD DY2 Q2
* Other monthly and quarterly metrics: SUD DY2 Q1
* Annual metrics that are established quality measures: SUD DY1 (calculated for CY 2020)
* Other annual metrics: None
 |
| July 1, 2021 – September 30, 2021 | DY2 Q3 | 11/29/2021 | * Narrative information: SUD DY2 Q3
* Grievances and appeals: SUD DY2 Q3
* Other monthly and quarterly metrics: SUD DY2 Q2
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| October 1, 2021 – December 31, 2021 | DY2 Q4 | 3/30/2022 | * Narrative information: SUD DY2 Q4
* Grievances and appeals: SUD DY2 Q4
* Other monthly and quarterly metrics: SUD DY2 Q3
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| January 1, 2022 – March 31, 2022 | DY3 Q1 | 5/30/2022 | * Narrative information: SUD DY3 Q1
* Grievances and appeals: SUD DY3 Q1
* Other monthly and quarterly metrics: SUD DY2 Q4
* Annual metrics that are established quality measures: None
* Other annual metrics: SUD DY2 (calculated for DY2)
 |
| April 1, 2022 – June 30, 2022 | DY3 Q2 | 8/29/2022 | * Narrative information: SUD DY3 Q2
* Grievances and appeals: SUD DY3 Q2
* Other monthly and quarterly metrics: SUD DY3 Q1
* Annual metrics that are established quality measures: SUD DY2 (calculated for CY 2021)
* Other annual metrics: None
 |
| July 1, 2022 – September 30, 2022 | DY3 Q3 | 11/29/2022 | * Narrative information: SUD DY3 Q3
* Grievances and appeals: SUD DY3 Q3
* Other monthly and quarterly metrics: SUD DY3 Q2
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| October 1, 2022 – December 31, 2022 | DY3 Q4 | 3/30/2023 | * Narrative information: SUD DY3 Q4
* Grievances and appeals: SUD DY3 Q4
* Other monthly and quarterly metrics: SUD DY3 Q3
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| January 1, 2023 – March 31, 2023 | DY4 Q1 | 5/30/2023 | * Narrative information: SUD DY4 Q1
* Grievances and appeals: SUD DY4 Q1
* Other monthly and quarterly metrics: SUD DY3 Q4
* Annual metrics that are established quality measures: None
* Other annual metrics: SUD DY3 (calculated for DY3)
 |
| April 1, 2023 – June 30, 2023 | DY4 Q2 | 8/29/2023 | * Narrative information: SUD DY4 Q2
* Grievances and appeals: SUD DY4 Q2
* Other monthly and quarterly metrics: SUD DY4 Q1
* Annual metrics that are established quality measures: SUD DY3 (calculated for CY 2022)
* Other annual metrics: None
 |
| July 1, 2023 – September 30, 2023 | DY4 Q3 | 11/29/2023 | * Narrative information: SUD DY4 Q3
* Grievances and appeals: SUD DY4 Q3
* Other monthly and quarterly metrics: SUD DY4 Q2
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| October 1, 2023 – December 31, 2023 | DY4 Q4 | 3/30/2024 | * Narrative information: SUD DY4 Q4
* Grievances and appeals: SUD DY4 Q4
* Other monthly and quarterly metrics: SUD DY4 Q3
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| January 1, 2024 – March 31, 2024 | DY5 Q1 | 5/30/2024 | * Narrative information: SUD DY5 Q1
* Grievances and appeals: SUD DY5 Q1
* Other monthly and quarterly metrics: SUD DY4 Q4
* Annual metrics that are established quality measures: None
* Other annual metrics: SUD DY4 (calculated for DY4)
 |
| April 1, 2024 – June 30, 2024 | DY5 Q2 | 8/29/2024 | * Narrative information: SUD DY5 Q2
* Grievances and appeals: SUD DY5 Q2
* Other monthly and quarterly metrics: SUD DY5 Q1
* Annual metrics that are established quality measures: SUD DY4 (calculated for CY 2023)
* Other annual metrics: None
 |
| July 1, 2024 – September 30, 2024 | DY5 Q3 | 11/29/2024 | * Narrative information: SUD DY5 Q3
* Grievances and appeals: SUD DY5 Q3
* Other monthly and quarterly metrics: SUD DY5 Q2
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| October 1, 2024 – December 31, 2024 | DY5 Q4 | 3/30/2025 | * Narrative information: SUD DY5 Q4
* Grievances and appeals: SUD DY5 Q4
* Other monthly and quarterly metrics: SUD DY5 Q3
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |