**1. Title Page for the State’s SMI/SED Demonstration or SMI/SED Components of Broader Demonstration**

| **State** |  | District of Columbia |
| --- | --- | --- |
| **Demonstration name** |  | Behavioral Health Transformation |
| **Approval date for demonstration** |  | 11/06/2019 |
| **Approval period for SMI/SED** |  | 01/01/2020 – 12/31/2024 |
| **Approval date for SMI/SED, if different from above** |  | Click here to enter text. |
| **Implementation date of SMI/SED, if different from above**  |  | Click here to enter text. |
| **SMI/SED (or if broader demonstration, then SMI/SED -related) demonstration goals and objectives** |  | The goal of this demonstration is for the District to maintain and enhance access to mental health services and continue delivery system improvements to provide more coordinated and comprehensive treatment for Medicaid beneficiaries with serious mental illness (SMI) and serious emotional disturbance (SED). This demonstration authorizes the District to receive federal financial participation (FFP) for delivering high-quality, clinically appropriate treatment to beneficiaries diagnosed with SMI and receiving treatment while they are short-term residents in settings that qualify as Institutions for Mental Diseases (IMD). This demonstration also complements the District’s efforts to implement models of care that are focused on increasing supports for individuals outside of institutions, in home and community-based settings (HCBS) to improve their access to SMI/SED services at varied levels of intensity. |

**2. Proposed Modifications to SMI/SED Narrative Information on Implementation, by Milestone or Reporting Topic**

| **Summary of proposed modification** | **Related metric (if any)** | **Justification for modification** |
| --- | --- | --- |
| **1. Ensuring Quality of Care in Psychiatric Hospitals and Residential Settings (Milestone 1)** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **2. Improving Care Coordination and Transitions to Community-Based Care (Milestone 2)** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.  |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **3. Increasing Access to Continuum of Care, Including Crisis Stabilization Services (Milestone 3)** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **4. Earlier Identification and Engagement in Treatment, Including Through Increased Integration (Milestone 4)** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **5. SMI/SED Health Information Technology (Health IT)** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **6. Other SMI/SED-related Metrics** |
| Please see notes in columns P and U of the monitoring workbook for additional information on metric issues. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **7. Annual Assessment of the Availability of Mental Health Providers** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **8. SMI/SED Financing Plan** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **9. Budget Neutrality** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **10. SMI/SED-Related Demonstration Operations and Policy** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **11. SMI/SED Demonstration Evaluation Update** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **12. Other Demonstration Reporting** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |
| **13. Notable State Achievements and/or Innovations** |
| [ ]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above. |
| [x]  The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications). |

**3. Annual Assessment of the Availability of Mental Health Providers Reporting**

[x]  The state will use the following time period for reporting of its Annual Assessment of the Availability of Mental Health Providers**:**

The state will use data as of December 31 to conduct its Annual Assessment of Availability of Mental Health Providers.

**4. Acknowledgement of Budget Neutrality Reporting**

[x]  The state has reviewed the Budget Neutrality workbook provided by the project officer and understands the expectations for quarterly and annual monitoring reports. The state will provide the requested budget neutrality information (no modifications).

**5. Retrospective reporting**

If a state’s monitoring protocol is approved after its first quarterly monitoring report submission date, the state should report data to CMS retrospectively for any prior quarters of SMI/SED demonstration implementation. States are expected to submit retrospective metrics data in the state’s second monitoring report submission after monitoring protocol approval, or propose an alternative plan for reporting retrospectively on its SMI/SED demonstration.

In the monitoring report submission containing retrospective metrics data, the state should also provide a general assessment of metrics trends from the start of the state’s demonstration through the end of the current reporting period. The state should report this information in Part B of its report submission (Table 3: Narrative Information on Implementation, by Milestone and Reporting Topic). This general assessment is not intended to be a comprehensive description of every trend observed in metrics data (for example, unlike other monitoring report submissions, the state is not required to describe all metrics changes (+ or) greater than 2 percent). Rather, the assessment is an opportunity for states to provide context for its retrospective metrics data, to support CMS’s review and interpretation. For example, consider a state that submits data showing an increase in the utilization of telehealth services for mental health (metric #15) over the course of the retrospective reporting period. The state may decide to highlight this trend to CMS in Part B of its report (under Milestone 3) by briefly summarizing the trend and providing context that during this period, the state implemented a grant to improve access to mental health treatment in rural areas through the use of telemedicine.

[x]  The state will report retrospectively for any quarters prior to monitoring protocol approval as described above, in the state’s second monitoring report submission after approval.

☐ The state proposes an alternative plan to report retrospectively for any quarters prior to monitoring protocol approval:

**6. Reporting SMI Demonstration Metrics and Narrative Information**

The state should review the guidance in Appendix A of the instructions document in order to attest it will follow CMS’s guidance on reporting metrics and narrative information, or propose any deviations. The state should complete Table A below to reflect its proposed reporting schedule for the duration of its SMI/SED demonstration approval period.

[x]  The state has completed the table below according to the guidance in Appendix A of the instructions document and attests to reporting metrics and narrative information in its quarterly and annual reports as described.

[ ]  The state has reviewed Appendix A of the instructions document and completed the table below with the following deviations:

**Table A. District of Columbia reporting in quarterly and annual monitoring reports**

| Dates of reporting quarter | SMI/SED DY | Report due (per STCs schedule) | Measurement period associated with SMI/SED information in report, by reporting category |
| --- | --- | --- | --- |
| January 1, 2020 – March 31, 2020 | DY1 Q1 | 7/31/2020 | * Narrative information: SMI/SED DY1 Q1
* Other monthly and quarterly metrics: None
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| April 1, 2020 – June 30, 2020 | DY1 Q2 | 8/29/2020 | * Narrative information: SMI/SED DY1 Q2
* Grievances and appeals (no critical incidents): SMI/SED DY1 Q2
* Other monthly and quarterly metrics: SMI/SED DY1 Q1
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| July 1, 2020 – September 30, 2020 | DY1 Q3 | 11/29/2020 | * Narrative information: SMI/SED DY1 Q3
* Grievances and appeals (no critical incidents): SMI/SED DY1 Q3
* Other monthly and quarterly metrics: SMI/SED DY1 Q2
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| October 1, 2020 – December 31, 2020 | DY1 Q4 | 3/30/2021 | * Narrative information: SMI/SED DY1 Q4
* Grievances and appeals: SMI/SED DY1 Q4
* Other monthly and quarterly metrics: SMI/SED DY1 Q3
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| January 1, 2021 – March 31, 2021 | DY2 Q1 | 5/30/2021 | * Narrative information: SMI/SED DY2 Q1
* Grievances and appeals: SMI/SED DY2 Q1
* Other monthly and quarterly metrics: SMI/SED DY1 Q4
* Annual metrics that are established quality measures: None
* Other annual metrics: SMI/SED DY1 (calculated for DY1)
 |
| April 1, 2021 – June 30, 2021 | DY2 Q2 | 8/29/2021 | * Narrative information: SMI/SED DY2 Q2
* Grievances and appeals: SMI/SED DY2 Q2
* Other monthly and quarterly metrics: SMI/SED DY2 Q1
* Annual metrics that are established quality measures: SMI/SED DY1 (calculated for CY 2020)
* Other annual metrics: None
 |
| July 1, 2021 – September 30, 2021 | DY2 Q3 | 11/29/2021 | * Narrative information: SMI/SED DY2 Q3
* Grievances and appeals: SMI/SED DY2 Q3
* Other monthly and quarterly metrics: SMI/SED DY2 Q2
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| October 1, 2021 – December 31, 2021 | DY2 Q4 | 3/30/2022 | * Narrative information: SMI/SED DY2 Q4
* Grievances and appeals: SMI/SED DY2 Q4
* Other monthly and quarterly metrics: SMI/SED DY2 Q3
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| January 1, 2022 – March 31, 2022 | DY3 Q1 | 5/30/2022 | * Narrative information: SMI/SED DY3 Q1
* Grievances and appeals: SMI/SED DY3 Q1
* Other monthly and quarterly metrics: SMI/SED DY2 Q4
* Annual metrics that are established quality measures: None
* Other annual metrics: SMI/SED DY2 (calculated for DY2)
 |
| April 1, 2022 – June 30, 2022 | DY3 Q2 | 8/29/2022 | * Narrative information: SMI/SED DY3 Q2
* Grievances and appeals: SMI/SED DY3 Q2
* Other monthly and quarterly metrics: SMI/SED DY3 Q1
* Annual metrics that are established quality measures: SMI/SED DY2 (calculated for CY 2021)
* Other annual metrics: None
 |
| July 1, 2022 – September 30, 2022 | DY3 Q3 | 11/29/2022 | * Narrative information: SMI/SED DY3 Q3
* Grievances and appeals: SMI/SED DY3 Q3
* Other monthly and quarterly metrics: SMI/SED DY3 Q2
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| October 1, 2022 – December 31, 2022 | DY3 Q4 | 3/30/2023 | * Narrative information: SMI/SED DY3 Q4
* Grievances and appeals: SMI/SED DY3 Q4
* Other monthly and quarterly metrics: SMI/SED DY3 Q3
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| January 1, 2023 – March 31, 2023 | DY4 Q1 | 5/30/2023 | * Narrative information: SMI/SED DY4 Q1
* Grievances and appeals: SMI/SED DY4 Q1
* Other monthly and quarterly metrics: SMI/SED DY3 Q4
* Annual metrics that are established quality measures: None
* Other annual metrics: SMI/SED DY3 (calculated for DY3)
 |
| April 1, 2023 – June 30, 2023 | DY4 Q2 | 8/29/2023 | * Narrative information: SMI/SED DY4 Q2
* Grievances and appeals: SMI/SED DY4 Q2
* Other monthly and quarterly metrics: SMI/SED DY4 Q1
* Annual metrics that are established quality measures: SMI/SED DY3 (calculated for CY 2022)
* Other annual metrics: None
 |
| July 1, 2023 – September 30, 2023 | DY4 Q3 | 11/29/2023 | * Narrative information: SMI/SED DY4 Q3
* Grievances and appeals: SMI/SED DY4 Q3
* Other monthly and quarterly metrics: SMI/SED DY4 Q2
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| October 1, 2023 – December 31, 2023 | DY4 Q4 | 3/30/2024 | * Narrative information: SMI/SED DY4 Q4
* Grievances and appeals: SMI/SED DY4 Q4
* Other monthly and quarterly metrics: SMI/SED DY4 Q3
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| January 1, 2024 – March 31, 2024 | DY5 Q1 | 5/30/2024 | * Narrative information: SMI/SED DY5 Q1
* Grievances and appeals: SMI/SED DY5 Q1
* Other monthly and quarterly metrics: SMI/SED DY4 Q4
* Annual metrics that are established quality measures: None
* Other annual metrics: SMI/SED DY4 (calculated for DY4)
 |
| April 1, 2024 – June 30, 2024 | DY5 Q2 | 8/29/2024 | * Narrative information: SMI/SED DY5 Q2
* Grievances and appeals: SMI/SED DY5 Q2
* Other monthly and quarterly metrics: SMI/SED DY5 Q1
* Annual metrics that are established quality measures: SMI/SED DY4 (calculated for CY 2023)
* Other annual metrics: None
 |
| July 1, 2024 – September 30, 2024 | DY5 Q3 | 11/29/2024 | * Narrative information: SMI/SED DY5 Q3
* Grievances and appeals: SMI/SED DY5 Q3
* Other monthly and quarterly metrics: SMI/SED DY5 Q2
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |
| October 1, 2024 – December 31, 2024 | DY5 Q4 | 3/30/2025 | * Narrative information: SMI/SED DY5 Q4
* Grievances and appeals: SMI/SED DY5 Q4
* Other monthly and quarterly metrics: SMI/SED DY5 Q3
* Annual metrics that are established quality measures: None
* Other annual metrics: None
 |